

Math 412. Adventure sheet on ideals

DEFINITION: An **ideal** of a ring R is a nonempty subset I satisfying

- (1) If $x_1, x_2 \in I$, then $x_1 + x_2 \in I$.
- (2) If $x \in I$ and $r \in R$, then $rx \in I$ and $xr \in I$;

CAUTION: When reading the text, you will see an ideal defined as a certain kind of “subring”. **DO NOT USE THIS DEFINITION!** Remember that for us, a subring always contains 1, because all rings contain 1. But most ideals do not contain 1.

DEFINITION: Let I be an ideal of a ring R . Consider arbitrary $x, y \in R$. We say that x is **congruent to y modulo I** if $x - y \in I$.

DEFINITION: The **congruence class of y modulo I** is the set $\{y+z \mid z \in I\}$ of all elements of R congruent to y modulo I . We denote the congruence class modulo I by $y + I$.

A. A WARM-UP TO THE WARM-UP. Check the following are true:

- (1) Every ideal contains 0.
- (2) Ideals are closed under additive inverses.
- (3) If $1 \in I$, then $I = R$.

B. WARM-UP. Which of the following are ideals in the given rings?

- (1) The set I of even integers in the ring \mathbb{Z} .
- (2) The set I of odd integers in the ring \mathbb{Z} .
- (3) The set I of integers that can be obtained as a \mathbb{Z} -linear combination of the integers 18 and 24.
- (4) The set of polynomials f in $\mathbb{C}[x]$ with nonzero constant term.
- (5) The set of polynomials with even coefficients in $\mathbb{Z}[x]$.
- (6) The set of classes $\{[0]_{12}, [3]_{12}, [6]_{12}, [9]_{12}\}$ in the ring \mathbb{Z}_{12} .

Solution. (2) and (4) are not ideals, but all the other ones are.

C. EASY PROOFS. Fix a commutative ring R and an ideal I .

- (1) Prove that the kernel of a ring homomorphism $R \xrightarrow{\phi} S$ is an ideal of R .
- (2) Verify that the set $\{y+z \mid z \in I\}$ really is precisely the set of all elements of R which are congruent to y modulo I .
- (3) Verify that congruence modulo I is an equivalence relation on R .

Solution.

- (1) The kernel is nonempty because it always contains 0. If $\phi(x) = \phi(y) = 0$, then $\phi(x+y) = \phi(x) + \phi(y) = 0$. Also, given any $r \in R$, $\phi(rx) = \phi(r)\phi(x) = 0$.
- (2) Given $z \in I$, $(y+z) - y = z \in I$, so $y+z$ is congruent to y modulo I . On the other hand, if r is congruent to y modulo I , then $y - r = z$ for some $z \in I$, by definition, so $y = r + z$.
- (3) The proof is the same as what we have done over \mathbb{Z} and $\mathbb{F}[x]$.

D. PRINCIPAL IDEALS. Fix a commutative ring R and fix some $c \in R$. Let I be the set $(c) := \{rc \mid r \in R\}$ of all multiples of c .

- (1) Prove that I is an ideal. We call this the **principal ideal** generated by c .
- (2) Let R be a commutative ring, and $r, s \in R$. When is $(r) \subseteq (s)$? When is $(r) = (s)$?
- (3) Show that a is congruent to b modulo I if and only if c divides $a - b$ in R .¹
- (4) In the case $R = \mathbb{Z}$, fix $c = 20$. In common language from high school, what is the principal ideal generated by 20? What is another notation for $17 + I$?
- (5) Let $R = \mathbb{Z}[x]$, and I be the set of polynomials in R such that $f(0)$ is an even integer. Show that I is an ideal, but that I is *not* a principal ideal for any choice of c .²

Solution.

- (1) Given $r, s \in R$, $rc + sc = (r + s)c \in I$. Given any $r, s \in I$, $s(rc) = (sr)c \in I$. Also I is nonempty because $c \in I$.
- (2) $(r) \subseteq (s)$ if and only if $s|r$. $(r) = (s)$ if and only if $r|s$ and $s|r$. If R also happens to be a domain, this means that $r = us$ for some unit u .
- (3) By definition, a is congruent to b if $a - b \in I$, which is equivalent to saying $a - b = rc$, which is equivalent to saying c divides $a - b$.
- (4) The principal ideal generated by 20 is the set of multiples of 20. Another notation for $17 + I$ is $[17]_{20}$.
- (5) We prove this by contradiction. If $I = (c)$ for some c , then $c|2$ and $c|x$. Since $c|2$, we know that c is a constant. Then, c is a constant that divides 2, so $c = \pm 1, \pm 2$. But, x is not a multiple of ± 2 in $\mathbb{Z}[x]$, so $I = (1)$. But this is a contradiction, since $1 \notin I$!

E. IDEALS IN \mathbb{Z} AND $\mathbb{F}[x]$.

- (1) Let I be an ideal in \mathbb{Z} , and suppose that $I \neq \{0\}$. Prove that $I = (c)$, where c is the smallest positive integer in I . Conclude that every ideal in \mathbb{Z} is a principal ideal.
- (2) Let \mathbb{F} be a field, and $R = \mathbb{F}[x]$. Let I be an ideal in R , and suppose that $I \neq \{0\}$. Prove that $I = (f(x))$, where $f(x)$ is the monic polynomial of smallest degree in I . Conclude that every ideal in R is a principal ideal.
- (3) Is every ideal in every ring a principal ideal?

Solution.

- (1) Note first that I contains a positive integer, since it contains some nonzero integer, and it is closed under “negatives.” We need to show that if $x \in I$, then $c|x$. Use the division algorithm to write $x = cq + r$, with $0 \leq r < c$. Since $c \in I$, $cq \in I$. Since $cq \in I$, $-cq \in I$. Since $-cq \in I$ and $x \in I$, $r = x - cq \in I$. By definition of c , we must have $r = 0$, so $c|x$.
- (2) The proof is analogous to the previous part, just using the division algorithm for polynomials instead!
- (3) No!

F. GENERATORS.

¹ $x|y$ in R if there exists a $z \in R$ such that $xz = y$.

²Hint: $2 \in I$ and $x \in I$.

- (1) Fix any elements c_1, c_2, \dots, c_t in a commutative ring R . Show that the set

$$\{r_1c_1 + r_2c_2 + \dots + r_tc_t \mid r_i \in R\}$$

of R -linear combinations of the c_i is an ideal of R . We denote this ideal by (c_1, c_2, \dots, c_t) , and call it the **ideal generated by** c_1, c_2, \dots, c_t .

- (2) Let $m, n \in \mathbb{Z}$. We know that the ideal generated by m and n is principal. What is a (single) generator for this ideal?
 (3) Let $f, g \in \mathbb{F}[x]$. We know that the ideal generated by f and g is principal. What is a (single) generator for this ideal?
 (4) Find generators for the ideal considered in D5.
 (5) Consider the ideal $(x, y) \subseteq \mathbb{R}[x, y]$. Is it principal?

Solution.

- (1) We need to show that this is closed under addition, and absorbs multiplication. Let $x, y \in (c_1, c_2, \dots, c_t)$. Write $x = r_1c_1 + r_2c_2 + \dots + r_tc_t$ and $y = s_1c_1 + s_2c_2 + \dots + s_tc_t$. Then

$$x+y = r_1c_1+r_2c_2+\dots+r_tc_t+s_1c_1+s_2c_2+\dots+s_tc_t = (r_1+s_1)c_1+(r_2+s_2)c_2+\dots+(r_t+s_t)c_t,$$

which is in (c_1, c_2, \dots, c_t) . Similarly, for $a \in R$, we have

$$ax = a(r_1c_1+r_2c_2+\dots+r_tc_t) = ar_1c_1+ar_2c_2+\dots+ar_tc_t = (ar_1)c_1+(ar_2)c_2+\dots+(ar_t)c_t,$$

which is in (c_1, c_2, \dots, c_t) .

- (2) The GCD of m and n ! Let $d = \gcd(m, n)$. By a theorem, we know that there are elements $a, b \in \mathbb{Z}$ such that $d = am + bn$. Then, for any $c \in \mathbb{Z}$, $cd = (ca)m + (cb)n \in (m, n)$, so $(d) \subseteq (m, n)$. On the other hand, we can write $m = du$, $n = dv$ for some integers u, v , so any number of the form $am + bn$ can be written as $(au + bv)d \in (d)$, so $(m, n) \subseteq (d)$.
 (3) The proof is analogous to the previous one!
 (4) $(2, x)$
 (5) No!

G. PRODUCTS. Let $R \times S$ be a product of two rings.

- (1) Show that the set $I = R \times \{0_S\} = \{(r, 0_S) \mid r \in R\}$ is an ideal of $R \times S$.
 (2) Prove that (r_1, s_1) is congruent modulo I to (r_2, s_2) if and only if $s_1 = s_2$.
 (3) Prove that every congruence class of $R \times S$ modulo I contains *exactly one* element of the form $(0_R, s)$ where $s \in S$.
 (4) Prove that the map $R \times S \rightarrow S$ sending $(r, s) \mapsto s$ is a surjective ring homomorphism with kernel I .

Solution.

H. IDEALS IN FIELDS.

- (1) Let I be an ideal in a ring R . Prove that if $1_R \in I$, then $I = R$.
 (2) Prove that if \mathbb{F} is a field, then its only ideals are $\{0\}$ and \mathbb{F} .
 (3) Prove that if \mathbb{F} is a field and R is a ring in which $0 \neq 1$, then every ring homomorphism $\mathbb{F} \xrightarrow{\phi} R$ is injective.

Solution.

- (1) For any $r \in R$, we have $r = 1 \times R$, so $r \in I$ by the absorption property.
- (2) If $I \neq \{0\}$, there is some $s \neq 0$ in I . Then, for any $r \in \mathbb{F}$, we can write $r = (rs^{-1})s$, so $r \in I$ by the absorption property.
- (3) The kernel is an ideal, and is not all of \mathbb{F} , since 1 is not in the kernel (1 maps to $1 \neq 0$). Thus, the kernel is zero, so the homomorphism is injective!