

$$R = \frac{\mathbb{Z}[u, v, w, x, y, z]}{(ux + vy + wz)}$$

found  $p$ -torsion  
in local cohomology for all  $p$ .

If  $\mathbb{Z} \sim K$  field, then every LC  
module has finitely many associated primes

idea:  $R_t$  where  $t \in \{u, \dots, z\}$

is  $\simeq$  localization of poly ring, so if  $H$  is  
a LC module of  $R$ ,  $H_t$  has fin many  
assoc primes  $\Rightarrow$  OK.

But, here are examples of  $K$ -algebras  
with LC modules with  $\infty$  assoc. primes, e.g.,

$$H_{(u,v)}^2 \left( \frac{K[s, t, u, v, w, x]}{s(ux)^2 + t(ux)(vy) + s(vy)^2} \right) \text{ has } \infty \text{ assoc. primes.}$$

Current topic of interest: for which

rings  $R$  do we have <sup>all</sup>  $H_I^i(R)$  have  
 finitely many assoc. primes?

Conj [Huneke, Lyubeznik]: If  $R$  is regular,  
 then all  $H_I^i(R)$  have finitely many  
 assoc. primes.

- known for:
- poly rings over fields of char 0 [Lyubeznik]
  - reg rings of char  $p > 0$  [Huneke-sharp]
  - smooth  $\mathbb{A}^1$ -algebras [Bhat-Blickle-Lyubeznik-Singh-Zhang].

Last time:  $R_f[S] \cdot \underline{f^S} \longrightarrow R_f$

$\begin{matrix} \longleftarrow & \longrightarrow & \text{to } \mathbb{Z} \end{matrix}$   
 (for  $R$  poly ring over  $k$  field of char 0).

Have:  $R_f[S] \cdot \underline{f^S}$  free cyclic  $R_f[S]$ -module  
 $\frac{\partial}{\partial x_i} \cdot \underline{f^S} = \frac{S \frac{\partial f}{\partial x_i}}{f} \cdot \underline{f^S}$

These determine at most one structure of  $D_{Rf|K}[S]$ -module,

$$\text{since } D_{Rf|K}[S] = R_f[S] \cdot \left\langle \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\rangle$$

and  $\underline{f}S$  generates  $R_f[S] \cdot \underline{f}S$  as  $D_{Rf|K}[S]$ -module.

Alternative construction:

$K$  field of char 0,  $R$   $K$ -alg.

$$\text{Let } D_{R|K}[S] \xrightarrow{\varphi} D_{R[\bar{E}]|K} \quad (s, t \text{ indeterminates})$$

be the map that is identity on  $D_{R|K}$

$$\text{and } s \longmapsto \frac{\partial}{\partial t} \cdot \bar{t}$$

can identify the image of  $\varphi$  with

$$D_{R|K} \left[ \frac{\partial}{\partial t} \cdot \bar{t} \right] \subseteq D_{R[\bar{E}]|K}, \text{ and } \varphi \text{ is injective.}$$

Consider the map

$$\frac{(R[t]_f)_{f-t}}{R[t]_f}$$

free cyclic  $R_f[S]$ -module

$$R_f[S] \cdot \underline{fS} \xrightarrow{\Psi} H_{(f-t)}^1(R[t]_f)$$

$$g(s) \cdot \underline{fS} \longmapsto g\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \left[\frac{1}{f-t}\right]$$

Exercise:  $\Psi$  is injective,

so  $\Psi$  induces an isomorphism onto the image  $D_{R_f|K} \left[\frac{\partial}{\partial t} \cdot \bar{t}\right] \cdot \left[\frac{1}{f-t}\right]$ .

Define  $\overset{D_{R_f|K}[S]}{\checkmark}$  structure on  $R_f[S] \cdot \underline{fS}$  as follows:

$$P(s) \cdot g(s) \underline{fS} := \Psi^{-1}(\Psi(P(s)) \cdot \Psi(g(s) \underline{fS}))$$

for  $P(s) \in D_{R_f|K}[S]$  and  $g(s) \in R_f[S]$ .

For  $h(s) \in R_f[S]$ ,

$$h(s) \cdot g(s) \underline{fS} = \Psi^{-1}(\Psi(h(s)) \cdot \Psi(g(s) \underline{fS}))$$

$$= \Psi^{-1}\left(h\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \cdot g\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \left[\frac{1}{f-t}\right]\right)$$

$$= \Psi^{-1}\left(\left(h\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) g\left(\frac{\partial}{\partial t} \cdot \bar{t}\right)\right) \cdot \left[\frac{1}{f-t}\right]\right)$$

$$= \Psi^{-1}\left(hg\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \cdot \left[\frac{1}{f-t}\right]\right)$$

$$= h(s)g(s) \cdot \underline{f}^s$$

So  $R_f[s] \sim$  module on  $R_f[s] \cdot \underline{f}^s$  is the same.

Now let  $R = K[x]$  poly ring.

$$\text{(so } D_{R/K}[s] = R_f[s] \langle \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \rangle \text{)}$$

$$\frac{\partial}{\partial x_i} \cdot \underline{f}^s = \gamma^{-1} \left( \left( \frac{\partial}{\partial x_i} \right) \gamma(\underline{f}^s) \right)$$

$$= \gamma^{-1} \left( \frac{\partial}{\partial x_i} \cdot \left[ \frac{1}{f-t} \right] \right)$$

$$= \gamma^{-1} \left[ \frac{-\frac{\partial f}{\partial x_i}}{(f-t)^2} \right]$$

$$\gamma \left( \begin{bmatrix} s \cdot \frac{\partial f}{\partial x_i} & \underline{f}^s \\ f & \underline{f} \end{bmatrix} \right) = \frac{\left( \frac{-\partial}{\partial t} \cdot t \right) \frac{\partial f}{\partial x_i}}{f} \begin{bmatrix} 1 \\ (f-t) \end{bmatrix}$$

$$= \frac{-\partial}{\partial t} \cdot \left( \begin{bmatrix} t \frac{\partial f}{\partial x_i} \\ f-t \end{bmatrix} + \begin{bmatrix} (f-t) \frac{\partial f}{\partial x_i} \\ f-t \end{bmatrix} \right)$$

$$= \frac{\frac{\partial}{\partial t}}{f} \cdot \left( \frac{\begin{bmatrix} f & \frac{\partial f}{\partial x_i} \end{bmatrix}}{f-t} \right) = \frac{\frac{\partial}{\partial t}}{f-t} \cdot \begin{bmatrix} \frac{\partial f}{\partial x_i} \\ f-t \end{bmatrix}$$

$$= \begin{bmatrix} -\frac{\partial f}{\partial x_i} \\ (f-t)^2 \end{bmatrix}.$$

$$\text{So, } \frac{\partial}{\partial x_i} \cdot \underline{fS} = \begin{pmatrix} S & \frac{\partial f}{\partial x_i} \end{pmatrix} \underline{fS}.$$

Conclusion:  $\exists D_{R|K}[S]$ -module structure on  $R_f[S] \cdot \underline{fS}$  as described earlier for  $R = K[S]$  (and thus a  $D_{R|K}[S]$ -module structure).

- Can define  $R_f[S] \cdot \underline{fS}$  even when  $R$  is not poly ring.  
(This construction is important for other reasons.)

## Bernstein-Sato Polynomials

Def: The Bernstein-Sato functional equation is an equation of the form

$$P(s) \cdot \underline{f} \underline{f}^s = b(s) \underline{f}^s$$

with  $P(s) \in D_{\text{Rik}}[S]$ ,  $b(s) \in K[S]$ , as an equation in  $R_f[S] \cdot \underline{f}^s$ .

Note: We insist on  $P(s) \in D_{\text{Rik}}[S]$ , not  $D_{R_f(K)}[S]$ , since in  $D_{R_f(K)}[S]$  have  $\frac{1}{f} = P(s)$  and  $b(s) = 1$ . The point is to undo mult. of  $f$  without dividing by  $f$ .

$$P(s) \cdot \underline{f} \underline{f}^s = b(s) \underline{f}^s \quad \text{in } R_f[S] \cdot \underline{f}^s$$

$\Downarrow$

$$P(t) \cdot \underline{f}^{t+1} = b(t) \underline{f}^t \quad \text{in } R_f \text{ for all } t \in \mathbb{Z}$$

( $\Leftrightarrow$  infinitely many  $t \in \mathbb{Z}$ )

$$R = k[x_1, \dots, x_n].$$

Examples: 1) Let  $x_i \in R$ .

$$\frac{\partial}{\partial x_i} \cdot x_i^{t+1} = (t+1)x_i^t \quad \text{for all } t \in \mathbb{Z}.$$

$$\text{Take } P(S) = \frac{\partial}{\partial x_i}, \quad b(S) = S+1$$

$$\Rightarrow P(S) \cdot \underline{x_i} x_i^S = b(S) \cdot \underline{x_i}^S \quad \text{in } R_{x_i}[S] \cdot \underline{x_i}^S.$$

$$\text{Also, } \overline{x_i} \frac{\partial^2}{\partial x_i^2} \cdot x_i^{t+1} = (t+1)t \cdot x_i^t \quad \text{for all } t \in \mathbb{Z}.$$

$$P(S) = \overline{x_i} \frac{\partial^2}{\partial x_i^2}, \quad b(S) = S(S+1)$$

yields functional equation.

2) Take  $x_i^n \in R$ .

$$\left(\frac{\partial}{\partial x_i}\right)^n \cdot x_i^{n(t+1)} = (nt+n)(nt+n-1) \dots (nt+1) x_i^{nt}$$

$$\leadsto P(S) = \left(\frac{\partial}{\partial x_i}\right)^n, \quad b(S) = (nS+n)(nS+n-1) \dots (nS+1).$$

3) For  $x_1^2 + x_2^3$ , have

$$P(s) = \frac{1}{12} x_2 \frac{\partial^2}{\partial x_1} \frac{\partial}{\partial x_2} + \frac{1}{27} \frac{\partial^3}{\partial x_2^3} + \frac{5}{4} \frac{\partial}{\partial x_1} + \frac{3}{8} \frac{\partial^2}{\partial x_1^2}$$

$$b(s) = (s+1)(s+\frac{5}{6})(s+\frac{7}{6})$$

Next goal is to prove that every  $f$  admits a nonzero functional equation (poly ring char 0).

Prop:  $R_f(s) \cdot \underline{f}_s \cong$  a holonomic  $D_{R(s)}(K(s))$ -module.

prf: will give a filtration by  $K(s)$  vector spaces that is consistent with the Bernstein filtration on  $D_{R(s)}(K(s))$  that is small. If  $f \in [R]_{sa}$ , set

$$f^t = \frac{1}{f^t} \cdot B^{(a+1)t} \cdot \underline{f}_s, \text{ where}$$

$B^\bullet$  is Ber. filtration on  $D_{R(S)}(K(S))$ ,  
 Take  $\frac{r}{f^t} \in F^t$ , so  $r \in B^{(a+1)t}$

• For  $h(s) \in K(S)$ ,  $h(s) \in B^0$

$$h(s) \frac{r}{f^t} \in F^t = \frac{h(s)r}{f^t} \in F^t \checkmark$$

$\in B^{(a+1)t}$

• For  $\bar{x}_i \in B^1$

$$\bar{x}_i \frac{r}{f^t} \in F^{t+1} = \frac{\bar{x}_i r}{f^{t+1}} \in F^{t+1} \checkmark$$

$\in B^{(a+2)(t+1)}$

• For  $\frac{\partial}{\partial x_i} \in B^1$

$$\frac{\partial}{\partial x_i} \frac{r}{f^t} \in F^{t+1} = \frac{\frac{\partial}{\partial x_i}(r) f^t - t f^{t-1} \frac{\partial}{\partial x_i} r}{f^{t+1}} \in F^{t+1}$$

$$= \frac{f \left( \frac{\partial}{\partial x_i} r \right) + (-t \frac{\partial}{\partial x_i} r)}{f^{t+1}} \in F^{t+1}$$

$$\subseteq \frac{1}{f^{t+1}} B^{(a+1)(t+1)} \cdot \mathcal{F}^S = \mathcal{F}^{t+1}$$

Since  $B^i = k(S) \cdot \left( \left\{ x_1, \dots, x_n, \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\} \right)^i$ ,

$\mathcal{F}^0$  is consistent with  $B^0$

Easy to see  $\dim_{k(S)}(\mathcal{F}^t) \leq C t^n$   
for some  $C$ .  $\dim_{k(S)}(B^{(a+1)t})$

Thus,  $R_f[S] \cdot \mathcal{F}^S$  is holonomic.  $\square$