

$K$  field of char 0,  $R = K[x_1, \dots, x_n]$  poly.

$$D = D_{R|K}$$

For a f.g.  $D$ -module  $M$ , define

$$d(M) := \begin{aligned} & \text{dimension of } \mathcal{G}^\bullet \\ & \mathcal{G}^\bullet \text{ good filtration on } M \\ & = \dim_{\text{gr}(D, \mathcal{B}^\bullet)}(\text{gr}(M, \mathcal{G}^\bullet)) \end{aligned}$$

$\uparrow$   
Bernstein filtration

standard  
graded  
poly ring.

where  $\mathcal{G}^\bullet$  compatible with  $\mathcal{B}^\bullet$  &

$\text{gr}(M, \mathcal{G}^\bullet)$  f.g.  $\text{gr}(D, \mathcal{B}^\bullet)$ -module.

Independent of choice of  $\mathcal{G}^\bullet$ .

$e(M) :=$  multiplicity of

$\text{gr}(M, \mathcal{G}^\bullet)$  as a  $\text{gr}(D, \mathcal{B}^\bullet)$ -module.

also independent of  $\mathcal{G}^\bullet$

Ex: Take  $M = H_{(x)}^n(R) \simeq D/D \cdot (x)$ .

$M \simeq x_1^{-1} \cdots x_n^{-1} K[x_1^{-1}, \dots, x_n^{-1}]$  as graded  $K$ -vector spaces.

This is generated by  $\mu = \left[ \frac{1}{x_1 \cdots x_n} \right]$

Then,  $G^t = B^t \cdot \mu$  is a good filtration.

$$\begin{aligned} & \bigoplus_{|a|+|b| \leq t} K \cdot \bar{x}^a \bar{y}^b \cdot \mu \\ &= \bigoplus_{|a|+|b| \leq t} K \cdot \left[ \frac{1}{x_1^{1+\beta_1-\alpha_1} \cdots x_n^{1+\beta_n-\alpha_n}} \right] \\ &= [M]_{\geq -n-t}. \end{aligned}$$

Have  $\dim_K([M]_{\geq -n-t}) = \dim_K([R]_{\leq t})$

$$\Rightarrow d(H_{(x)}^d(R)) = d(R) = n$$

$$e(H_{(x)}^d(R)) = e(R) = 1.$$

Exercise: Compute  $d, e,$  of  $R_{x_2}$  using the definitions. (will see  $e(R) \neq 1$ )

(in char 0 poly setting).

LEM: If  $M$  is a fin. gen.  $D$ -module with any filtration  $F^\bullet$  compatible with Ber., then  $\dim(M, F^\bullet) \geq d(M)$ , and if  $\dim(M, F^\bullet) = d(M)$ , then  $e_{\dim}(M, F^\bullet) \geq e(M)$ .

pf: Boils down any good filtration is contained in a (uniform) shift of an arbitrary filtration. □

(Exercise).

Prop: In char 0 poly ring setting, let  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  be a short exact sequence of fin. gen.  $D$ -modules. Then  $\bullet$   $d(M) = \max\{d(L), d(N)\}$ ,



and likewise for  $e(L), e(M), e(N)$ .  $\square$

Lemma: Let  $S \in B^t$ . Then  $[S, F_j], [S, \frac{\partial}{\partial x_j}] \in B^{t-1}$ .

Proof: Exercise.

Thm [Bernstein's inequality]:  $K$  field of char 0,  
 $R = K[x]$  poly ring <sup>of dim  $n$</sup> ,  $(M, G^\bullet)$  is a  
 $(D_{R|K}, B^\bullet)$ -module. If  $M \neq 0$ , then  
 $\dim(M, G^\bullet) \geq n$ . Thus, if  $M$  is finitely gen.,  
 $\dim(M) \in \{n, n+1, \dots, 2n\}$ .

Proof: Show by induction on  $t$  that  
the map of vector spaces

$$B^t \longrightarrow \text{Hom}_K(G^t, G^{2t})$$

$$\delta \longmapsto (m_1 \rightarrow \delta m)$$

is injective.

Need to see that  $\mathcal{S} \in B^t \setminus \{0\}$ ,  
 then  $\mathcal{S}(G^t) \neq 0$ .

For  $t=0$ ,  $B^0 = K$ , so  $B^0 \setminus \{0\} = K^\times \checkmark$ .

Inductive step:

If  $[\mathcal{S}, \bar{x}_i] = 0$  for all  $i$ , then

$$\mathcal{S} = \bar{r} \in \bar{R} \cap B^t$$

$$\Rightarrow [\mathcal{S}, \frac{\partial}{\partial x_i}] = -\frac{\partial}{\partial x_i}(\bar{r}) \neq 0 \text{ some } i$$

unless  $\bar{r} \in B^0$  (in which case we're done)

Can assume that either  $[\mathcal{S}, \bar{x}_i] \neq 0$

or  $[\mathcal{S}, \frac{\partial}{\partial x_i}] \neq 0$  some  $i$ .

$$(\mathcal{S} \bar{x}_i - \bar{x}_i \mathcal{S})(G^{t-1})^{\text{IH}} \neq 0 \quad ; \quad (\mathcal{S} \frac{\partial}{\partial x_i} - \frac{\partial}{\partial x_i} \mathcal{S})(G^{t-1}) \neq 0$$

$$0 \neq \mathcal{S}(\bar{x}_i G^{t-1}) \subseteq \mathcal{S}(G^t) \quad ; \quad \mathcal{S}(\frac{\partial}{\partial x_i} G^{t-1}) \subseteq \mathcal{S}(G^t)$$

- or -

$$0 \neq \mathcal{S}(G^{t-1}) \subseteq \mathcal{S}(G^t) \quad ; \quad 0 \neq \mathcal{S}(G^{t-1}) \subseteq \mathcal{S}(G^t)$$

This completes the claim.

Thus,

$$\binom{2n+t}{t} = \dim_K(B^t) \leq \dim_K(\text{Hom}_K(G^t, G^{2t}))$$
$$\dim_K(G^t) \cdot \dim_K(G^{2t})$$

and  $\binom{2n+t}{t} = \frac{t^{2n}}{(2n)!} + \text{lower order terms}$ .

$$\Rightarrow \limsup_t \frac{\log(t^{2n}/(2n)!)}{\log(t)} \leq \limsup_t \frac{\log(\dim(G^t) \dim(G^{2t}))}{\log(t)}$$

" " " "

$$2n \quad \limsup_t \frac{\log(\dim(G^t)) + \log(\dim(G^{2t}))}{\log(t)}$$

But,  $\limsup \frac{\log(\dim(G^{2t}))}{\log(t)}$

$$= \limsup \frac{\log(\dim(G^{2t}))}{\log(t) + \log(2)} \quad \log(2t)$$

$$\leq \limsup_t \frac{\log(\dim(G^t))}{\log(t)} = \dim(M, G^\bullet)$$

Thus,  $2 \dim(M, G^\bullet) \geq 2N$ .

$$\dim(M, G^\bullet) \geq N. \quad \square$$

Def:  $K$  field of char 0,  $R = K[x_1, \dots, x_n]$ .

A  $D$ -module is holonomic if it is finitely generated and  $d(M) = n$ , or else  $M = 0$ .

We will say  $e(0) = 0$ . If  $M$  is holonomic, then  $M = 0 \Leftrightarrow e(0) = 0$ .

Then, if  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  are holonomic,  $e(M) = e(L) + e(N)$ .



Some  
Ex: Holonomic modules are:

$$0, R, H_{(x)}^n(R).$$

A nonholonomic module is  $D_{R/K}$ . (if  $n > 0$ )

Rmk: Submodules & quotient modules  
of holonomic modules are holonomic:

$$\text{if } N \subseteq M, \quad d(N) \leq d(M) = n,$$

so by Bernstein,  $N = 0$  or  $d(N) = n$ .

$N$  is fin. gen., since  $M$  is fin. gen.,  
and  $D_{R/K}$  is left Noetherian.

(if  $T$  is left Noeth, then f.g.  $\Leftrightarrow$  Noeth  
for left- $T$ -modules)

Prop: If  $M$  is a holonomic  $D$ -module, then  $M$  has finite length as a  $D$ -module; moreover,

$$l_{D, \text{RK}}(M) \leq e(M).$$

Prf: If  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  SES of holonomic  $D$ -modules, and

$$e(L) = e(M), \text{ then } e(N) = 0 \Rightarrow N = 0,$$

$\Rightarrow L = M$ . Thus, given a chain of submodules (proper)

$$\dots \subsetneq M_2 \subsetneq M_1 \subsetneq M$$

Each  $M_i$  is necessarily holonomic.

$$\text{We have } e(M) > e(M_1) > e(M_2) > \dots$$

Since these are all positive integers, the chain must have length at most  $e(M)$ .



Ex: Since  $R, H_{(x)}^n(R)$  are holonomic with  $e=1$ , they have length at most 1, so they are simple  $D$ -modules.

In general " $=$ " is rare.

" $=$ "  $\Rightarrow$  every composition factor has multiplicity 1.

Q: What can we say about holonomic  $D$ -modules of multiplicity 1

$\Leftrightarrow$  holo  $D$ -mods with  $l=e$ ?