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Zariski-Nagata Theorems

Our next application is to symbolic powers. We want to prove a theorem of Zariski-Nagata & a more general version by Cid-Ruiz building on work of Blumfeld.

We will use colon notation

$$(A :_R T) = \{ r \in R \mid t(r) \in A \text{ for all } t \in T\}$$

for a collection of differential operators T from $R \rightarrow M$ and some submodule $A \subseteq M$.

Our goal is the following theorem:

Theorem: Let k be a perfect field,

R be an algebra ess. of finite type over k , and $P \subseteq R$ prime. Then

$$1) P^{(n)} = (0 :_R D_{R/k}^{n-1}(R, R/P)) \text{, and}$$

$$2) \text{ If } R \text{ be (localization of) a poly. ring, then } P^{(n)} = (P :_R D_{R/k}^{n-1}).$$

First containment

This will require a bit of preparation.

First we study the ideals specified on the RHS:

Prop: For each n , $(0 :_R D_{R/k}^n(R, R/I))$ and $(P :_R D_{R/k}^n)$ are ideals.

Prf: By induction on n .

$$\text{For } n=0, (0 :_R D_{R/k}^0(R, R/I)) = (I :_R D_{R/k}^0) = I.$$

Ind. step: Additivity is clear, since operators are additive.

If $r \in R$ with $D_{R/k}^n(R, R/I) \cdot r = 0$ and $s \in R$ general,

$$D_{R/k}^n(R, R/I) \cdot s \in D_{R/k}^n(R, R/I), \quad \text{but } s \notin D_{R/k}^n(R, R/I).$$

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Likewise for $D_{RIA}^n \cdot r \in I$.

Prop: We have $I(O :_R D_{RIA}^i(R, R/I)) \subseteq (O :_R D_{RIA}^{i+1}(R, R/I))$

and $I(\bar{I} :_R D_{RIA}^i) \subseteq (I :_R D_{RIA}^{i+1})$.

Prf: Let $D_{RIA}^i(R, R/I) \cdot r = 0$ and $f \in I$.

$$\begin{aligned} \text{If } S \in D_{RIA}^{i+1}(R, R/I), \text{ then } S\bar{f}r = S\bar{f}(r) &= \bar{f}S(r) + [S, \bar{f}](r) \\ &= \cancel{\bar{f}} \cancel{S}(r) + 0 = 0. \end{aligned}$$

Similarly for other.

Prop: If P is prime, then $(O :_R D_{RIA}^i(R, R/P))$

and $(P :_R D_{RIA}^i)$ are P -primary.

Prf: Induce to show $r \notin P, ra \in I \Rightarrow a \in I$ for these ideals.

~~$\text{Let } D_{RIA}^{i+1}(R, R/P) \cdot (ra) = 0, r \notin P, \text{ take } S \in D_{RIA}^{i+1}(R, R/P).$~~

$$\begin{aligned} \text{Then } a \cdot S(ra) &= (SF)(a) = (F\bar{S})(a) + [\bar{S}, F](a) \\ &= r S(a) + [\bar{S}, r](a). \end{aligned}$$

By IH, $[\bar{S}, r](a) \subseteq D_{RIA}^i(R, R/P) \cdot a = 0$, and

$$r S(a) = 0 \Rightarrow S(a) = 0.$$

Similarly for other. \square

This gives the containment $P^{(n)} \subseteq (O :_R D_{RIA}^{n-1}(R, R/P))$,

since $P^n \subseteq (O :_R D_{RIA}^{n-1}(R, R/P))$ by above above,

and $P^{(n)}$ is smallest P -primary ideal containing P^n .

~~$\text{If } \text{ann} = (\oplus_{i=1}^n :_R I_i) = ((I_1, R) \oplus_{i=2}^n (I_i :_R 0))$~~

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If R is left. / K , $P \in R$ prime, then.

$$\text{Prop: } (\mathcal{O} :_R D_{R/K}^{n-1}(R, R/P))_P = (\mathcal{O} :_{R_P} D_{R_P/K}^{n-1}(R_P, R_P/P R_P)).$$

pf: Can write an element of LHS as $\frac{r}{w}$ with $D_{R/K}^{n-1}(R, R/P) \cdot r = 0$, $w \notin P$.

If $s \in D_{R_P/K}^{n-1}(R_P, R_P/P R_P)$, write $s = \frac{1}{v} \alpha$, $v \notin P$, and α extension of an op. in $D_{R/K}^{n-1}(R, R/P)$. Then $s(\frac{r}{w}) = \frac{1}{vw} (\alpha(r) + \frac{\alpha^{(1)}(r)}{w} + \frac{\alpha^{(2)}(r)}{w^2} + \dots + \frac{\alpha^{(n-1)}(r)}{w^{n-1}}) = 0$.

($\alpha^{(i)} \in D_{R/K}^{n-1-i}(R, R/P)$), so (\subseteq) holds. If $D_{R_P/K}^{n-1}(R_P, R_P/P R_P) \cdot \frac{r}{w} = 0$ ($w \notin P$),

$D_{R_P/K}^{n-1}(R_P, R_P/P R_P) \cdot r = 0$, and $D_{R/K}^{n-1}(R, R/P) \cdot r = 0$ (since every op. extends to the former type), so (\supseteq) too.

Thus, it only remains to show that if
 (R, m, k) is local, left over K perfect,
then $(\mathcal{O} :_R D_{R/K}^{n-1}(R, k)) = m^n$.

Separable field extensions & quasicoefficient fields.

Thm (MacLane): Let $K \leq L$ be a ~~fin. gen.~~ extension of fields,
with K perfect. Then $\exists x_1, \dots, x_t \in L$ s.t.

$K \leq K(x_1, \dots, x_t)$ purely transcendental and

$K(x_1, \dots, x_t) \leq L$ finite separable.

pf: If $\text{char } K = 0$, no problem: any tr. basis works. (Note that $L/K(x_1, \dots, x_t)$ alg \Rightarrow finite, since fin. gen.)

In $\text{char } p > 0$, let $F^{\text{sep}} = \{l \in L \mid l \text{ sep' over } F\}$ for
 $F \leq L$ subfield. Pick $x_1, \dots, x_t \in L$ so that

$[L : K(x_1, \dots, x_t)^{\text{sep}}]$ is minimal among all tr bases
 $\{x_1, \dots, x_t\}$ (again note this is finite).

~~and rel. exponents~~ Suppose $\exists y \in L$ inseparable over $K(x_1, \dots, x_t)$, and
~~we mult. by p.~~ let $F(z)$ be its min poly. By taking coeffs in coprime terms
and clearing denominators, we get a poly

$H(z) \in K[x_1, \dots, x_t, z]$ s.t. $H(y) = 0$, the coeffs in $K[x]$
are relatively prime, and H is irr in $K[x][z]$; so H
is irr by Gauss' Lemma.

Now, not every exponent of each x_j is a mult. of p , else H is a p^{th} power (since it's perfect), so wlog x_n occurs somewhere without a mult. of p exponent. Thus, x_n is sep'ly over $K(x_1, \dots, x_{n-1}, y)$, and x_1, \dots, x_{n-1}, y is a tr. basis for L/K . But $x_n, y \in K(x_1, \dots, x_{n-1}, y)^{\text{sep}} \not\supseteq K(x_1, \dots, x_n)^{\text{sep}}$, contradicting the choice of x_1, \dots, x_n . \square

Def: If (R, m, k) is a local ~~ring~~ ^{ring}, we say L is a quasicoefficient field for R if $k \leq L \subseteq R$ and $L \xrightarrow{R \rightarrow k}$ is finite separable.