

§7.33: TRANSCENDENCE DEGREE AND DIMENSION

DEFINITION: Let $K \subseteq L$ be an extension of fields and let S be a subset of L .

- (1) The **subfield of L generated by K and S** , denoted $K(S)$, is the smallest subfield of L containing K and S . Equivalently, $K(S)$ is the set of elements in L that can be written as rational function expressions in S with coefficients in K .
- (2) We say that S is **algebraically independent** over K if there are nonzero polynomial relations on any finite subset of S . Equivalently, S is algebraically independent over K if, for a set of indeterminates $X = \{X_s \mid s \in S\}$, there is an isomorphism of field extensions of K between the field of rational functions $K(S)$ and $K(X)$ via $s \mapsto X_s$.
- (3) We say that S is a **transcendence basis** for L over K if S is algebraically independent over K and the field extension $K(S) \subseteq L$ is algebraic.

LEMMA: Let $K \subseteq L$ be an extension of fields.

- (1) Every K -algebraically independent subset of L is contained in a transcendence basis. In particular, there exists a transcendence basis for L over K .
- (2) Every transcendence basis for L over K has the same cardinality.

DEFINITION: Let $K \subseteq L$ be an extension of fields. The **transcendence degree** of L over K is the cardinality of a transcendence basis for L over K .

THEOREM: Let K be a field, and R be a domain that is algebra-finite over K . Then, the dimension of R is equal to the transcendence degree of $\text{Frac}(R)$ over K .

- (1) Let K be a field, and R be a domain that is algebra-finite over K .
 - (a) Explain why, if $R = K[f_1, \dots, f_m]$, then $\text{Frac}(R) = K(f_1, \dots, f_m)$.
 - (b) Show¹ that if $A = K[z_1, \dots, z_t]$ is a Noether normalization for R , then $\{z_1, \dots, z_t\}$ forms a transcendence basis for $\text{Frac}(R)$.
 - (c) Deduce the Theorem.
- (2) Let K be a field. Use the Theorem to compute the dimension of
$$R = K[UX, UY, UZ, VX, VY, VZ] \subseteq K[U, V, X, Y, Z].$$
- (3) Let $R \subseteq S$ be domains.
 - (a) Use the Theorem to prove that if $R \subseteq S$ are finitely generated algebras over some field K , then $\dim(R) \leq \dim(S)$.
 - (b) Give an example where $\dim(R) > \dim(S)$.

¹Hint: Recall that every nonzero $r \in R$ has a nonzero multiple in A .

- (4) Proof of Lemma: Let $K \subseteq L$ be fields, and S a subset of L .
- (a) Show that S is a transcendence basis for L over K if and only if it is a maximal K -algebraically independent subset of L .
 - (b) Deduce part (1) of the Lemma.
 - (c) Show that, to prove part (2) (in the case of two finite transcendence bases), it suffices to show the following
EXCHANGE LEMMA: If $\{x_1, \dots, x_m\}$ and $\{y_1, \dots, y_n\}$ are two transcendence bases, then there is some j such that $\{x_j, y_2, \dots, y_n\}$ is a transcendence basis.
 - (d) In the setting of the Exchange Lemma, explain why for each j , there is some nonzero $p_j(t) \in K[y_1, \dots, y_n][t]$ such that $p_j(x_j) = 0$.
 - (e) In the setting of the previous part, explain why there is some j such that $p_j(t) \notin K[y_2, \dots, y_n][t]$.
 - (f) Show that the conclusion of the Exchange Lemma holds for j as in the previous part.