

§7.32: NOETHER NORMALIZATION AND DIMENSION

THEOREM: Let K be a field, and R be a domain that is algebra-finite over K . Let $K[f_1, \dots, f_n]$ be a Noether normalization of R . Any saturated chain of primes from 0 to a maximal ideal \mathfrak{m} of R has length n .

COROLLARY: Let K be a field, and R be a finitely generated K -algebra. Then

- (1) For any primes $\mathfrak{p} \subseteq \mathfrak{q}$ of R , every saturated chain of primes from \mathfrak{p} to \mathfrak{q} has the same length. (That is, R is **catenary**).
- (2) If R is a domain, and I is an arbitrary ideal, then $\dim(R) = \dim(R/I) + \text{height}(I)$.

(1) Consequences of the Theorem: Let K be a field.

- (a) Use the Theorem to deduce that $\dim(K[X_1, \dots, X_n]) = n$.
- (b) Use the Theorem to deduce that every Noether normalization has the same number of elements.
- (c) Use part (a) above to show that the dimension of a K -algebra is at most the number of generators in an K -algebra generating set.
- (d) Use the Theorem to prove part (1) of the Corollary.

- (a) Note that $K[X_1, \dots, X_n]$ is a Noether normalization of itself. So, any saturated chain of primes from 0 to a maximal ideal has length n .
- (b) Follows because every Noether normalization has cardinality equal to the length of a saturated chain from 0 to any maximal ideal.
- (c) If R is a K algebra with n generators, it is a quotient of $K[X_1, \dots, X_n]$, which has dimension n , so R has dimension at most n .
- (d) Take two saturated chains of primes from \mathfrak{p} to \mathfrak{q} . Let $S = R/\mathfrak{p}$, which is a domain. We get two saturated chains of primes from 0 to $\mathfrak{q}/\mathfrak{p}$ in S . Fix a maximal ideal of S containing $\mathfrak{q}/\mathfrak{p}$. By concatenation, we get two saturated chains from 0 to fixed maximal ideals in S , which must have the same length. So the chains from 0 to $\mathfrak{q}/\mathfrak{p}$ have the same length, and hence the chains from \mathfrak{p} to \mathfrak{q} have the same length.

(2) Let K be a field. Use the Theorem and previous computations to compute the dimension of each of the following rings:

- (a) $\frac{K[X, Y, Z]}{(X^3 + Y^3 + Z^3)}$.
- (b) $\frac{K[X, Y]}{(XY)}$.
- (c) $K[X^4, X^3Y, XY^3, Y^4]$.

- (a) A Noether normalization is $K[x, y]$, so the dimension is 2.
- (b) A Noether normalization is $K[x + y]$, so the dimension is 1.
- (c) A Noether normalization is $K[X^4, Y^4]$, so the dimension is 2.

(3) Proof of Theorem: Induce on the number of elements n in a Noether normalization.

(a) Explain the case $n = 0$.

(b) For the general case, let $A = K[z_1, \dots, z_n] \subseteq R$ be a Noether normalization, and take a saturated chain of primes of R :

$$(0) = \mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \dots \subsetneq \mathfrak{p}_s = \mathfrak{m}.$$

Explain why \mathfrak{p}_1 has height 1.

(c) Explain why $\mathfrak{p}_1 \cap A$ has height 1.

(d) Explain why $\mathfrak{p}_1 \cap A$ is principal.

(e) Explain why, after a change of coordinates, we can assume that $K[z_1, \dots, z_{n-1}]$ is a Noether normalization of R/\mathfrak{p}_1 .

(f) Finish the proof.

(a) If $n = 0$, then R is a domain module-finite over a field, so a field. Then there are no chains of primes.

(b) This follows from the definition of saturated.

(c) This is a Corollary of Going Down.

(d) From the homework, every height one prime in a UFD is principal.

(e) Let $\mathfrak{p}_1 \cap A = (f)$. After a change of coordinates in the z_i 's, we can assume that f is monic in z_n . We have $K[z_1, \dots, z_n]/(f) \hookrightarrow R/\mathfrak{p}_1$, and this is integral since $A \rightarrow R$ is. Then $K[z_1, \dots, z_{n-1}] \hookrightarrow K[z_1, \dots, z_n]/(f)$ is module-finite, and then the composition is a Noether normalization.

(f) By the induction hypothesis, any saturated chain from $\mathfrak{p}_1/\mathfrak{p}_1$ to a maximal ideal of R/\mathfrak{p}_1 has length $n - 1$. So $s = n$.

(4) Use the Theorem to prove part (2) of the Corollary.

(5) Let $R = K[X_1, \dots, X_n]$ and f_{m+1}, \dots, f_n be polynomials such that $f_{m+1} \in K[X_1, \dots, X_{m+1}]$ is monic in X_{m+1} , \dots , $f_n \in K[X_1, \dots, X_n]$ is monic in X_n . Show that $K[x_1, \dots, x_m]$ is a Noether normalization for $S = R/(f_{m+1}, \dots, f_n)$, and deduce that $\dim(S) = m$, and that $\text{height}(f_{m+1}, \dots, f_n) = n - m$.

(6) Let K be a field, and let $R \subseteq S$ be an inclusion of finitely generated K -algebras that are both domains. Show that for any $\mathfrak{q} \in \text{Spec}(S)$, $\text{height}(\mathfrak{q}) = \text{height}(\mathfrak{q} \cap R)$.

(7) Let K be a field. Show that $K[[X_1, \dots, X_n]]$ is a domain of dimension n .