

§6.25: ASSOCIATED PRIMES

DEFINITION: Let  $R$  be a ring and  $M$  be a module. A prime ideal  $\mathfrak{p}$  of  $R$  is an **associated prime** of  $M$  if  $\mathfrak{p} = \text{ann}_R(m)$  for some  $m \in M$ . The element  $m$  is called a **witness** for the associated prime  $\mathfrak{p}$ . We write  $\text{Ass}_R(M)$  for the set of associated primes of a module.

LEMMA: Let  $R$  be a Noetherian ring and  $M$  be a module. For any nonzero element  $m \in M$ , the ideal  $\text{ann}_R(m)$  is contained in an associated prime of  $M$ . In particular, if  $M \neq 0$ , then  $M$  has an associated prime.

DEFINITION: Let  $R$  be a ring and  $M$  be an  $R$ -module. We say that an element  $r \in R$  is a **zerodivisor** on  $M$  if there is some  $m \in M \setminus 0$  such that  $rm = 0$ .

PROPOSITION: Let  $R$  be a Noetherian ring and  $M$  an  $R$ -module. The set of zerodivisors on  $M$  is the union of the associated primes of  $M$ .

THEOREM: Let  $R$  be a Noetherian ring,  $W$  be a multiplicatively closed set, and  $M$  be a module. Then

$$\text{Ass}_{W^{-1}R}(W^{-1}M) = \{W^{-1}\mathfrak{p} \mid \mathfrak{p} \in \text{Ass}_R(M), \mathfrak{p} \cap W = \emptyset\}.$$

COROLLARY: Let  $R$  be a Noetherian ring and  $I$  be an ideal. Then  $\text{Min}(I) \subseteq \text{Ass}_R(R/I)$ .

- (1) Proof of Lemma and Proposition: Let  $R$  be a Noetherian ring and  $M$  be a nonzero module.
- Let  $\mathcal{S} = \{\text{ann}_R(m) \mid m \in M \setminus 0\}$ . Explain why  $\mathcal{S}$  has a maximal element  $J$ .
  - Let  $J = \text{ann}_R(m)$  and suppose that  $rs \in J$  but  $s \notin J$ . Explain why  $J = \text{ann}_R(sm)$ .
  - Conclude the proof of the Lemma.
  - Deduce the Proposition from the Lemma.
  - What does the Proposition say in the special case when  $M = R$ ?

- Because this is a nonempty collection of ideals in a Noetherian ring.
- First,  $\text{ann}_R(sm) \supseteq \text{ann}_R(m)$  since  $rm = 0$  implies  $rs m = 0$ . Since  $s \notin J$ ,  $\text{ann}_R(sm) \neq R$ , so by maximality we have equality.
- Suppose  $s \notin J$  and  $rs \in J$ . Then  $rs m = 0$  implies that  $r \in \text{ann}_R(sm) = J$ . Thus  $J$  is prime. Since any element of  $\mathcal{S}$  is contained in a maximal element, the claim follows.
- If  $r$  is a zerodivisor on  $M$ , then  $r$  is contained in some ideal of  $\mathcal{S}$ , and then it is contained in an associated prime. Conversely, any element in an associated prime is a zerodivisor on  $M$  by definition.
- The zerodivisors in  $R$  are the elements in some associated prime.

- (2) Working with associated primes.

- Let  $R$  be a domain and  $M$  be a torsionfree module. Show that  $\text{Ass}_R(M) = \{(0)\}$ .
- Let  $R$  be a ring and  $\mathfrak{p}$  be a prime ideal. Show that for any nonzero element  $\bar{r} \in R/\mathfrak{p}$  that  $\text{ann}_R(\bar{r}) = \mathfrak{p}$  and use the definition to deduce that  $\text{Ass}_R(R/\mathfrak{p}) = \{\mathfrak{p}\}$ .

- (c) Let  $K$  be a field and  $R = K[X, Y]/(X^2Y, XY^2)$ . Use<sup>1</sup> the definition to show that  $(x, y)$ ,  $(x)$ , and  $(y)$  are associated primes of  $R$ .
- (d) Let  $M$  be a module. Explain why  $\mathfrak{p} \in \text{Ass}_R(M)$  if and only if there is an injective  $R$ -module homomorphism  $R/\mathfrak{p} \hookrightarrow M$ .

- (a) By definition, any nonzero element has annihilator zero.
- (b) Clearly  $\mathfrak{p} \subseteq \text{ann}_R(\bar{r})$ . Let  $r$  be a representative of  $\bar{r}$ ; we have  $r \notin \mathfrak{p}$ . The annihilator of  $\bar{r} \in R/\mathfrak{p}$  is the set of  $s \in R$  such that  $sr \in \mathfrak{p}$ . By definition of prime,  $s \in \mathfrak{p}$ , so  $\text{ann}_R(\bar{r}) \subseteq \mathfrak{p}$  and equality holds.
- (c) Since  $x \cdot xy = x^2y = 0$  and  $y \cdot xy = xy^2$ , the annihilator of  $xy$  contains  $(x, y)$ ; any element not in  $(x, y)$  has some/every representative with a nonzero constant term, and hence does not kill  $xy$ . Thus  $\text{ann}_R(xy) = (x, y)$ .  
We claim that  $\text{ann}_R(y^2) = (x)$ . Indeed,  $x \cdot y^2 = 0$ , and if  $f \notin (x)$ , then some/every representative  $f$  has a nonzero term that only involves  $Y$ , and  $f \cdot Y^2$  has a nonzero term only involving  $Y$ , and hence nonzero modulo  $(X^2Y, XY^2)$ . The claim follows. Along similar lines,  $\text{ann}_R(x^2) = (y)$ .
- (d) If  $\text{ann}_R(m) = \mathfrak{p}$ , then the map  $R \rightarrow M$  sending  $1 \mapsto m$  has kernel  $\mathfrak{p}$ , so one has an injection  $R/\mathfrak{p} \rightarrow M$ . Conversely, if  $R/\mathfrak{p} \hookrightarrow M$ , then the image of  $1$  has annihilator  $\mathfrak{p}$ .

- (3) Using the Theorem. Let  $R$  be a Noetherian ring.
- (a) Restate the Theorem in the special case  $W = R \setminus \mathfrak{p}$  with our standard notation for this setting.
- (b) Show (either using the Theorem or 2(d) above) that  $\text{Ass}_R(M) \subseteq \text{Supp}_R(M)$ .
- (c) Use the Theorem (and the previous part or otherwise) to prove the Corollary.
- (d) Show the more general statement: if  $M$  is a nonzero module, then the primes that are minimal within the support of  $M$  are associated to  $M$ .

- (a)  $\text{Ass}_{R_{\mathfrak{p}}}(M_{\mathfrak{p}}) = \{\mathfrak{q}R_{\mathfrak{p}} \mid \mathfrak{q} \in \text{Ass}_R(M) \text{ and } \mathfrak{q} \subseteq \mathfrak{p}\}$ .
- (b) Suppose that  $\mathfrak{p} \in \text{Ass}_R(M)$ . Then  $\mathfrak{p}R_{\mathfrak{p}} \in \text{Ass}_{R_{\mathfrak{p}}}(M_{\mathfrak{p}})$  so  $M_{\mathfrak{p}} \neq 0$ .
- (c) Let  $M = R/I$  and  $\mathfrak{p} \in \text{Min}(I)$ . Then  $M_{\mathfrak{p}} \neq 0$  (for various reasons as previously discussed in localizations), so  $M_{\mathfrak{p}} \neq 0$ . But the support of  $M_{\mathfrak{p}}$  is  $V(IR_{\mathfrak{p}}) = \{\mathfrak{p}R_{\mathfrak{p}}\}$ , so  $\mathfrak{p}R_{\mathfrak{p}} \in \text{Ass}_{R_{\mathfrak{p}}}(M_{\mathfrak{p}})$  and hence  $\mathfrak{p} \in \text{Ass}_R(M)$ .
- (d) The previous argument shows this.

- (4) The ring of Puiseux series is  $R = \bigcup_{n \geq 1} \mathbb{C}[[X^{1/n}]]$ : elements consist of power series with fractional exponents that have a common denominator (though different elements can have different common denominators).
- (a) Show that every nonzero element of  $R$  can be written in the form  $X^{m/n} \cdot u$  for some unit  $u$ .
- (b) Show that the  $R$ -module  $R/(X)$  is nonzero but has no associated primes.
- (5) Proof of Theorem: Let  $R$  be a Noetherian ring,  $W$  be a multiplicatively closed set, and  $M$  be a module.

<sup>1</sup>Hint: Consider  $xy$  and  $y^2$ .

- (a) Suppose that  $\mathfrak{p}$  is an associated prime of  $M$  with  $W \cap \mathfrak{p} = \emptyset$ , and let  $m$  be a witness for  $\mathfrak{p}$  as an associated prime of  $M$ . Show that  $W^{-1}\mathfrak{p}$  is an associated prime of  $W^{-1}M$  with witness  $\frac{m}{1}$ .
- (b) Suppose that  $W^{-1}\mathfrak{p} \in \text{Spec}(W^{-1}R)$  is an associated prime of  $W^{-1}M$ . Explain why there is a witness of the form  $\frac{m}{1}$ .
- (c) Let  $\mathfrak{p} = (f_1, \dots, f_t)$ . Explain why there exist  $w_1, \dots, w_t \in W$  such that  $w_i f_i m = 0$  in  $M$  for all  $i$ .
- (d) Show that  $w_1 \cdots w_t m$  is a witness for  $\mathfrak{p}$  as an associated prime of  $M$ .
- (6) Let  $R$  be a Noetherian ring and  $M$  be a module. Show that  $\mathfrak{p} \in \text{Ass}_R(M)$  if and only if for every  $r \in \mathfrak{p}$  and every nonzero  $m \in M$ , there exists some  $u \notin \mathfrak{p}$  such that  $urm = 0$ .
- (7) Let  $R$  be a Noetherian ring. Is every minimal prime of a zerodivisor a minimal prime of  $R$ ?