

§5.21: LOCALIZATION OF RINGS

DEFINITION: Let R be a ring and W a multiplicatively closed subset with $0 \notin W$. The **localization** $W^{-1}R$ is the ring with

- elements equivalence classes of $(r, w) \in R \times W$, with the class of (r, w) denoted as $\frac{r}{w}$.
- with equivalence relation $\frac{s}{u} = \frac{t}{v}$ if there is some $w \in W$ such that $w(sv - tu) = 0$,
- addition given by $\frac{s}{u} + \frac{t}{v} = \frac{sv + tu}{uv}$, and
- multiplication given by $\frac{s}{u} \frac{t}{v} = \frac{st}{uv}$.

(If $0 \in W$, then $W^{-1}R := 0$, which by our convention is not a ring.)

DEFINITION: Let R be a ring.

- If $f \in R$ is nonnilpotent¹, then $R_f := \{1, f, f^2, \dots\}^{-1}R$.
- If $\mathfrak{p} \subseteq R$ is a prime ideal then $R_{\mathfrak{p}} := (R \setminus \mathfrak{p})^{-1}R$.
- The **total quotient ring** of R is $\text{Frac}(R) := \{w \in R \mid w \text{ is a nonzerodivisor}\}^{-1}R$.

For a ring R , multiplicative set $W \not\ni 0$, and an ideal I , we define

$$W^{-1}I := \left\{ \frac{a}{w} \in W^{-1}R \mid a \in I \right\}.$$

THEOREM: Let R be a ring and W be a multiplicatively closed subset. Then the map induced on Spec corresponding to the natural map $R \rightarrow W^{-1}R$ yields a homeomorphism into its image:

$$\text{Spec}(W^{-1}R) \cong \{ \mathfrak{p} \in \text{Spec}(R) \mid \mathfrak{p} \cap W = \emptyset \}.$$

LEMMA: Let R be a ring and W be a multiplicatively closed subset.

- (1) For any ideal $I \subseteq R$, $W^{-1}I = I(W^{-1}R)$.
- (2) For any ideal $I \subseteq R$, $W^{-1}I \cap R = \{r \in R \mid \exists w \in W : wr \in I\}$.
- (3) For any ideal $J \subseteq W^{-1}R$, $W^{-1}(J \cap R) = J$.
- (4) For any prime ideal $\mathfrak{p} \subseteq R$ with² $\mathfrak{p} \cap W = \emptyset$, $W^{-1}\mathfrak{p}$ is prime.

(1) Computing localizations

- (a) What is the natural ring homomorphism $R \rightarrow W^{-1}R$?
- (b) Show that the kernel of $R \rightarrow W^{-1}R$ is ${}^W0 := \{r \in R \mid \exists w \in W : wr = 0\}$.
- (c) If every element of W is a nonzerodivisor, explain why the equivalence relation on $W^{-1}R$ simplifies to $\frac{s}{u} = \frac{t}{v}$ if and only if $sv = tu$.
- (d) If R is a domain, explain why $\text{Frac}(R)$ is the usual fraction field of R .
- (e) If R is a domain, explain why $W^{-1}R$ is a subring of the fraction field of R . Which subring?
- (f) Let $\overline{R} = R/{}^W0$ and \overline{W} be the image of W in \overline{R} . Show that $W^{-1}R \cong \overline{W}^{-1}\overline{R}$.

¹If f is nilpotent, $0 \in \{1, f, f^2, \dots\}$ so $R_f = 0$.

²If $W \cap \mathfrak{p} \ni a$, then $W^{-1}\mathfrak{p} \ni \frac{a}{1} = \frac{1}{1}$, so $W^{-1}\mathfrak{p} = W^{-1}R$ is the improper ideal!

- (a) $r \mapsto \frac{r}{1}$.
- (b) $\frac{r}{1} = \frac{0}{1}$ if and only if $\exists w \in W : rw = w(1r - 0) = 0$.
- (c) $w(sv - tu) = 0$ and w a nonzerdivisor implies $sv - tu = 0$; i.e., $sv = tu$.
- (d) In light of the above, it's just the definition.
- (e) The equivalence relation on the fractions is the same as that in the fraction field, so the map is injective; the operations are definitely the same. It is the subring consisting of fractions that can be written with denominator in W .
- (f) We define a map from $W^{-1}R \rightarrow \overline{W^{-1}R}$ by $\frac{r}{w} \mapsto \frac{\bar{r}}{\bar{w}}$. It is clear from the construction that this is a surjective homomorphism. Suppose that $\frac{r}{w}$ is in the kernel, so $\frac{\bar{r}}{\bar{w}} = \frac{0}{1}$. This means that there is some $\bar{v} \in \overline{W}$ such that $\bar{v}\bar{r} = 0$; i.e., $vr \in W0$ for some $v \in W$. Then there is some $u \in W$ such that $uvr = 0$, but $uv \in W$, so $\frac{r}{w} = \frac{0}{1}$ in $W^{-1}R$.

(2) Ideals in localizations: Let R be a ring and W a multiplicatively closed set.

- (a) Use the Theorem to show that, if $f \in R$ is nonnilpotent, then

$$\text{Spec}(R_f) \cong D(f) \subseteq \text{Spec}(R).$$

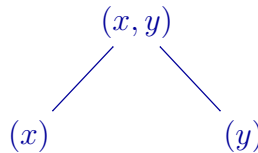
- (b) Use the Theorem to show that, if $\mathfrak{p} \subseteq R$ is prime, then

$$\text{Spec}(R_{\mathfrak{p}}) \cong \{\mathfrak{q} \in \text{Spec}(R) \mid \mathfrak{q} \subseteq \mathfrak{p}\} =: \Lambda(\mathfrak{p}).$$

Deduce that $R_{\mathfrak{p}}$ is always a *local ring*.

- (c) Draw³ a picture of $\text{Spec}\left(\frac{\mathbb{C}[X,Y]}{(XY)}_{(x,y)}\right)$.
- (d) Use Part (3) of the Lemma to show that every ideal of $W^{-1}R$ is of the form $W^{-1}I$ for some ideal $I \subseteq R$.
- (e) Use Part (3) of the Lemma to show that any localization of a Noetherian ring is Noetherian.

- (a) The condition $\mathfrak{p} \cap \{1, f, f^2, \dots\} = \emptyset$ is equivalent to $f \notin \mathfrak{p}$; i.e., $f \in D(\mathfrak{p})$.
- (b) The condition $\mathfrak{q} \cap (R \setminus \mathfrak{p}) = \emptyset$ is equivalent to $\mathfrak{q} \subseteq \mathfrak{p}$; i.e., $\mathfrak{q} \in \Lambda(\mathfrak{p})$. There is a unique maximal element in this set, namely \mathfrak{p} , so $R_{\mathfrak{p}}$ is local.
- (c)



- (d) Clear.
- (e) Given an ideal of $W^{-1}R$, write it as $I(W^{-1}R)$ for some ideal I of R . Then $I = (f_1, \dots, f_t)$ by Noetherianity, whence $I(W^{-1}R)$ is generated by the images $\frac{f_1}{1}, \dots, \frac{f_t}{1}$.

(3) Examples of localizations

- (a) Describe as concretely as possible the rings \mathbb{Z}_2 and $\mathbb{Z}_{(2)}$ as defined above.
- (b) Describe as concretely as possible the rings $K[X]_X$ and $K[X]_{(X)}$.
- (c) Describe as concretely as possible the rings $K[X, Y]_X$ and $K[X, Y]_{(X)}$.
- (d) Describe as concretely as possible the rings $\left(\frac{K[X,Y]}{(XY)}\right)_x$ and $\left(\frac{K[X,Y]}{(XY)}\right)_{(x)}$.

³Recall that $\text{Spec}\left(\frac{\mathbb{C}[X,Y]}{(XY)}\right)$ consists of $\{(x), (y), (x, y - \alpha), (x - \beta, y) \mid \alpha, \beta \in \mathbb{C}\}$.

(e) Describe as concretely as possible $\left(\frac{K[X,Y]}{(X^2)}\right)_x$ and $\left(\frac{K[X,Y]}{(X^2)}\right)_{(x)}$.

- (a) $\mathbb{Z}_2 = \{a/b \in \mathbb{Q} \mid b = 2^n\}$ and $\mathbb{Z}_{(2)} = \{a/b \in \mathbb{Q} \mid 2 \nmid b\}$.
 (b) $K[X]_X = \{f/g \in K(X) \mid g = X^n\}$ and $K[X]_{(X)} = \{f/g \in K(X) \mid X \nmid g\}$.
 (c) $K[X, Y]_X = \{f/g \in K(X, Y) \mid g = X^n\}$
 and $K[X, Y]_{(X)} = \{f/g \in K(X, Y) \mid X \nmid g\}$.
 (d) $\left(\frac{K[X,Y]}{(XY)}\right)_x \cong K[X, X^{-1}]$ and $\left(\frac{K[X,Y]}{(XY)}\right)_{(x)} \cong K(Y)$.
 (e) $\left(\frac{K[X,Y]}{(X^2)}\right)_x \cong K[Y]$ and $\left(\frac{K[X,Y]}{(X^2)}\right)_{(x)} \cong K(Y)[X]/(X^2)$.

(4) Prove the Lemma and the Theorem.

Lemma:

(a) For the containment \subseteq , we have $\frac{a}{w} = \frac{a}{1} \frac{1}{w}$. For the other, given $\sum_i \frac{a_i}{1} \frac{r_i}{w_i}$, take $w = w_1 \cdots w_t$ and w'_i to be the product of all w 's except w_i ; then

$$\sum_i \frac{a_i}{1} \frac{r_i}{w_i} = \sum_i \frac{a_i}{1} \frac{w'_i r_i}{w} = \sum_i \frac{a_i w'_i r_i}{w} \in W^{-1}I.$$

- (b) We have $r \in W^{-1}I \cap R$ if and only if $\frac{r}{1} \in W^{-1}I$, so $\frac{r}{1} = \frac{a}{w}$ some $a \in I, w \in W$. Then there is some $u \in W$ such that $u(wr - a) = 0$, so $(uw)r \in I$, as claimed.
 (c) Let $j = \frac{r}{w} \in J$. Then $\frac{r}{1} = wj \in J \cap R$, $\frac{r}{w} = \frac{1}{w} \frac{r}{1} \in W^{-1}(J \cap R)$. Conversely, if $\frac{a}{w} \in W^{-1}(J \cap R)$ so $a \in J \cap R$, then $\frac{a}{1} \in J$, and $\frac{a}{w} = \frac{1}{w} \frac{a}{1} \in J$.
 (d) Let $\frac{a}{u}, \frac{b}{v} \in W^{-1}R$, and $\frac{ab}{uv} \in W^{-1}\mathfrak{p}$. Then there are some $w \in W$ and $p \in \mathfrak{p}$ such that $\frac{ab}{uv} = \frac{p}{w}$, so there is $t \in W$ with $t(wab - uv p) = 0$, so $(tw)ab \in \mathfrak{p}$. Since $W \cap \mathfrak{p} = \emptyset$, $tw \notin \mathfrak{p}$ so $a \in \mathfrak{p}$ or $b \in \mathfrak{p}$, and hence $\frac{a}{u} \in W^{-1}\mathfrak{p}$ or $\frac{b}{v} \in W^{-1}\mathfrak{p}$.

Theorem: Suppose that \mathfrak{q} is a prime ideal in $W^{-1}R$ and $\mathfrak{q} \cap R = \mathfrak{p}$. Then $W^{-1}\mathfrak{p} = W^{-1}(\mathfrak{q} \cap R) = \mathfrak{q}$. This shows that the only ideal (in particular, the only prime ideal) that contracts to \mathfrak{p} is $W^{-1}\mathfrak{p}$, so this map is injective. Since $W^{-1}\mathfrak{p}$ is prime for any $\mathfrak{p} \cap W = \emptyset$, and is the bogus ideal otherwise, the image is exactly the primes with $\mathfrak{p} \cap W = \emptyset$. To see that it induces a homeomorphism onto its image, it suffices to show that the image of a closed set is closed. One checks from the definition that the image of $V(W^{-1}I)$ is $V(I) \cap \{\mathfrak{p} \in \text{Spec}(R) \mid \mathfrak{p} \cap W = \emptyset\}$.

(5) Prove the following LEMMA: If V, W are multiplicatively closed sets, then $(VW)^{-1}R \cong \left(\frac{V}{1}\right)^{-1}(W^{-1}R)$, where $\left(\frac{V}{1}\right)^{-1}$ is the image of V in $W^{-1}R$.

Check that the map $(r/w)/(v/1) \mapsto r/(wv)$ is an isomorphism: it is clearly a ring homomorphism, and clearly surjective. If $r/(wv)$ is zero, then there is some $u \in VW$ with $ur = 0$. We can write $u = st$ with $s \in V$ and $t \in W$, so $str = 0$. But this implies that $s(r/w) = 0$ in $W^{-1}R$ (because there is some $t \in W$ such that $str = 0$), and this means that $(r/w)/(v/1) = 0$.

(6) Minimal primes.

- (a) Let \mathfrak{p} be a minimal prime of R . Show that for any $a \in \mathfrak{p}$, there is some $u \notin \mathfrak{p}$ and $n \geq 1$ such that $ua^n = 0$.
- (b) Show that the set of minimal⁴ primes $\text{Min}(R)$ with the induced topology from $\text{Spec}(R)$ is Hausdorff.
- (c) Let $R = K[X_1, X_2, X_3, \dots]/(\{X_i X_j \mid i \neq j\})$. Describe $\text{Min}(R)$ as a topological space.

⁴ $\text{Min}(R)$ denotes the set of primes of R that are minimal. This is the same as $\text{Min}(0)$ in our notation of minimal primes of an ideal; this conflict of notation is standard.