

§5.20: LOCAL RINGS AND NAK

DEFINITION: A ring is **local** if it has a unique maximal ideal. We write (R, \mathfrak{m}) for a local ring to denote the ring R and the maximal ideal \mathfrak{m} ; we may also write (R, \mathfrak{m}, k) to indicate the residue field $k := R/\mathfrak{m}$.

GENERAL NAK: Let R be a ring, I an ideal, and M be a finitely generated module. If $IM = M$, then there is some $a \in R$ such that $a \equiv 1 \pmod{I}$ and $aM = 0$.

LOCAL NAK 1: Let (R, \mathfrak{m}) be a local ring and M be a finitely generated module. If $M = \mathfrak{m}M$, then $M = 0$.

LOCAL NAK 2: Let (R, \mathfrak{m}) be a local ring and M be a finitely generated module. Let N be a submodule of M . Then $M = N + \mathfrak{m}M$ if and only if $M = N$.

LOCAL NAK 3: Let (R, \mathfrak{m}, k) be a local ring and M be a finitely generated module. Then a set of elements $S \subseteq M$ generates M if and only if the image of S in $M/\mathfrak{m}M$ generates $M/\mathfrak{m}M$ as a k -vector space.

DEFINITION: Let (R, \mathfrak{m}, k) be a local ring and M be a finitely generated module. A set of elements S of M is a **minimal generating set** for M if the image of S in $M/\mathfrak{m}M$ is a basis for $M/\mathfrak{m}M$ as a k -vector space.

(1) Local rings.

(a) Show that for a ring R the following are equivalent:

- R is a local ring.
- The set of all nonunits forms an ideal.
- The set of all nonunits is closed under addition.

(b) Show that if A is a domain then $A[X]$ is *not* a local ring.

(c) Show that if K is a field, the power series ring $R = K[[X_1, \dots, X_n]]$ is a local ring.

(d) Let $p \in \mathbb{Z}$ be a prime number, and $\mathbb{Z}_{(p)} \subseteq \mathbb{Q}$ be the set of rational numbers that can be written with denominator *not* a multiple of p . Show that $(\mathbb{Z}_{(p)}, p\mathbb{Z}_{(p)})$ is a local ring.

(e) Show that any quotient of a local ring is also a local ring.

(2) General NAK implies Local NAKs

(a) Show that General NAK implies Local NAK 1.

(b) Briefly¹ explain why Local NAK 1 implies Local NAK 2.

(c) Briefly² explain why Local NAK 2 implies Local NAK 3.

(d) Use Local NAK 3 to briefly explain why a minimal generating set is a generating set, and that, in this setting, any generating set contains a minimal generating set.

(3) Proof of General NAK: Let $M = \sum_{i=1}^n Rm_i$. Set v to be the row vector $[m_1, \dots, m_n]$.

(a) Suppose that $IM = M$. Explain why there is an $n \times n$ matrix A with entries in I such that $vA = v$.

(b) Apply a TRICK and complete the proof.

¹Reuse an old argument in a similar setting.

²It's déjà vu all over again.

- (4) Let (R, \mathfrak{m}) be a local ring, $f \in R$ not a unit, and M be a nonzero finitely generated module. Show that there is some element of M that is *not* a multiple of f .
- (5) Applications of NAK.
- (a) Let R be a ring and I be a finitely generated ideal. Show that if $I^2 = I$ then there is some idempotent e such that $I = (e)$.
 - (b) Find a counterexample to (a) if I is *not* assumed to be finitely generated.
 - (c) Let (R, \mathfrak{m}) be a Noetherian local ring and M be a finitely generated module. Show that $\bigcap_{n \geq 1} \mathfrak{m}^n M = 0$.
 - (d) Find a counterexample to (c) if (R, \mathfrak{m}) is still Noetherian local but M is not finitely generated.
 - (e) Find a counterexample to (c) if (R, \mathfrak{m}) with $M = R$, \mathfrak{m} is a maximal ideal, but R is not necessarily Noetherian and local.
 - (f) Let R be a Noetherian ring, and M a finitely generated module. Let $\phi : M \rightarrow M$ be a surjective R -module homomorphism. Show³ that ϕ must also be injective.
 - (g) Let (R, \mathfrak{m}) be a local ring. Suppose that $R_{\text{red}} := R/\sqrt{0}$ is a domain, and that there is some $f \in R$ such that R/fR is reduced (and nonzero). Show that R is reduced (and hence a domain).

³Hint: Take a page from the 818 playbook and give M an $R[X]$ -module structure.