

§4.19: SPECTRUM OF A RING

FORMAL NULLSTELLENSATZ: Let R be a ring, I an ideal, and $f \in R$. Then $V(f) \supseteq V(I)$ if and only if $f \in \sqrt{I}$.

COROLLARY 1: Let R be a ring. There is a bijection

$$\{\text{radical ideals in } R\} \longleftrightarrow \{\text{closed subsets of } \text{Spec}(R)\}.$$

DEFINITION: Let R be a ring and I an ideal. A **minimal prime** of I is a prime \mathfrak{p} that contains I , and is minimal among primes containing I . We write $\text{Min}(I)$ for the set of minimal primes of I .

LEMMA: Every prime that contains I contains a minimal prime of I .

COROLLARY 2: Let R be a ring and I be an ideal. Then

$$\sqrt{I} = \bigcap_{\mathfrak{p} \in \text{Min}(I)} \mathfrak{p}.$$

DEFINITION: A subset W of a ring R is **multiplicatively closed** if $1 \in W$ and $u, v \in W$ implies $uv \in W$.

PROPOSITION: Let R be a ring and W be a multiplicatively closed subset. Then every ideal I such that $I \cap W = \emptyset$ is contained in a prime ideal \mathfrak{p} such that $\mathfrak{p} \cap W = \emptyset$.

(1) Proof of Formal Nullstellensatz and Corollaries.

- (a)** Show the direction (\Leftarrow) of Formal Nullstellensatz.
- (b)** Verify that $W = \{f^n \mid n \geq 0\}$ is a multiplicatively closed set. Then apply the Proposition to prove the direction (\Rightarrow) of Formal Nullstellensatz.
- (c)** Prove Corollary 1.
- (d)** Prove the Lemma.
- (e)** Prove Corollary 2.
- (f)** What does Corollary 2 say in the special case $I = (0)$?

- (a)** Suppose that $f \in \sqrt{I}$, so $f^n \in I$. If $\mathfrak{p} \in V(I)$, then $I \subseteq \mathfrak{p}$, and $f^n \in \mathfrak{p}$ implies $f \in \mathfrak{p}$, so $\mathfrak{p} \in V(f)$.
- (b)** Yes, it is a multiplicatively closed set. If $f \notin \sqrt{I}$, then $W \cap I = \emptyset$, so there is some prime \mathfrak{p} such that $W \cap \mathfrak{p} = \emptyset$. In particular, $f \notin \mathfrak{p}$, so $V(f) \not\supseteq V(I)$.
- (c)** We map a radical ideal I to the closed set $V(I)$. This is surjective since $V(J) = V(\sqrt{J})$. If I, J are distinct radical ideals, then take some $f \in J \setminus I$. Then $V(f)$ contains $V(I)$ but not $V(J)$, so $V(I) \neq V(J)$.
- (d)** Usual Zorn's Lemma argument.
- (e)** If $f \in \sqrt{I}$, then $f \in V(\mathfrak{p})$ for all \mathfrak{p} containing I , so f is in every minimal prime of I . On the other hand, if f is in every minimal prime of I , then it is in every prime containing I , so $V(f) \supseteq V(I)$, which implies $f \in \sqrt{I}$.
- (f)** An element is nilpotent if and only if it is in every minimal prime of the ring.

(2) Use the Formal Nullstellensatz to fill in the blanks:

$$f \text{ is nilpotent} \iff V(f) = \underline{\hspace{2cm}} \iff D(f) = \underline{\hspace{2cm}}.$$

What property replaces “nilpotent” if you swap the blanks for V and D above?

$$f \text{ is nilpotent} \iff V(f) = \text{Spec}(R) \iff D(f) = \emptyset.$$

The opposite property is unit.

(3) Prove¹ the Proposition.

Given an increasing union of ideals that don't intersect I , the union is an ideal and does not intersect I , so by Zorn's Lemma, there is an ideal maximal among those that don't intersect I ; call it J . Let $ab \in J$ with $a, b \notin J$. Then $(J + (a)) \cap W$ and $(J + (b)) \cap W$ are nonempty. Say u, v are elements in the respective intersections. Then $u = j_1 + ar_1$ and $v = j_2 + br_2$, and $uv = j_1j_2 + j_1br_2 + j_2ar_2 + abr_1r_2 \in J$.

(4) Let R be a ring. Show² that $\text{Spec}(R)$ is connected as a topological space if and only if $R \not\cong S \times T$ for rings³ S, T .

First, suppose that $R \cong S \times T$. Then any prime ideal of R is of the form $\mathfrak{p} \times T$ for $\mathfrak{p} \in \text{Spec}(S)$ or $S \times \mathfrak{q}$ for $\mathfrak{q} \in \text{Spec}(T)$. So, as sets, there is a bijection $\text{Spec}(R) \leftrightarrow \text{Spec}(S) \amalg \text{Spec}(T)$. Moreover, this is a homeomorphism: the ideals in $S \times T$ are of the form $I \times J$, and $V(I \times J) \subseteq \text{Spec}(S \times T)$ corresponds to $V(I) \amalg V(J) \subseteq \text{Spec}(S) \amalg \text{Spec}(T)$, so this is the disjoint union topology. In particular, $\text{Spec}(S)$ and $\text{Spec}(T)$ are form a disconnection.

From above, we know that $\text{Spec}(S \times T) \cong \text{Spec}(S) \amalg \text{Spec}(T)$ so it suffices to show that $\text{Spec}(R)$ disconnected implies that R has a nontrivial idempotent. Applying the definition of disconnected, there exists some closed sets $V(I), V(J)$ such that $V(I) \cup V(J) = \text{Spec}(R)$ and $V(I) \cap V(J) = \emptyset$. Thus $\sqrt{I+J} = R$, so $I+J = R$ and $\sqrt{I \cap J} = \sqrt{0}$, so $I \cap J$ consists of nilpotents. By CRT, we have $R/(I \cap J) \cong R/I \times R/J$. Set $N = I \cap J$. We have that there is a nontrivial idempotent in R/N but $e, 1-e \notin N$. So there is some $e \in R$ such that $e - e^2 \in N$ so $e^n(1-e)^n = 0$ for some n . Set $I' = (e^n)$ and $J' = (1-e)^n$. We claim that $I' + J' = R$ and $I' \cap J' = 0$. Indeed, in R/I' , \bar{e} is nilpotent, so $1 - \bar{e}$ is a unit, as is $(1-e)^n$. Thus, we can write $(1-e)^n u = 1 + e^n f$ for some $u, f \in R$, and hence $1 \in I' + J'$; then $I' \cap J' = I'J' = 0$. By CRT we have $R \cong R/I' \times R/J'$. Finally, it remains to note that $I', J' \neq 0$ to see that this is proper: we have $0 \neq \bar{e} = \bar{e}^2 = \dots = \bar{e}^n$ in R/N , so we must have $e^n \neq 0$ and likewise $(1-e)^n \neq 0$.

¹Hint: Take an ideal maximal among those that don't intersect W .

²Start with the (\Rightarrow) direction. For the other direction, use CRT.

³Recall that the zero ring is not a ring.