

§3.13: FINITENESS THEOREM FOR INVARIANT RINGS

**HILBERT'S FINITENESS THEOREM:** Let  $K$  be a field of characteristic zero, and  $R = K[X_1, \dots, X_n]$  be a polynomial ring. Let  $G$  be a finite group acting on  $R$  by degree-preserving  $K$ -algebra automorphisms. Then the invariant ring  $R^G$  is algebra-finite over  $K$ .

**THEOREM:** Let  $R$  be an  $\mathbb{N}$ -graded ring. Then  $R$  is Noetherian if and only if  $R_0$  is Noetherian and  $R$  is algebra-finite over  $R_0$ .

**DEFINITION:** Let  $R \subseteq S$  be an inclusion of rings. We say that  $R$  is a **direct summand** of  $S$  if there is an  $R$ -module homomorphism  $\pi : S \rightarrow R$  such that  $\pi|_R = \mathbb{1}_R$ .

**PROPOSITION:** A direct summand of a Noetherian ring is Noetherian.

**LEMMA:** Let  $R$  be a polynomial ring over a field  $K$ . If  $G$  is a group acting on  $R$  by degree-preserving  $K$ -algebra automorphisms, then

- (1)  $R^G$  is an  $\mathbb{N}$ -graded  $K$ -subalgebra of  $R$  with  $(R^G)_0 = K$ .
- (2) If in addition,  $G$  is finite, and  $|G|$  is invertible in  $K$ , then  $R^G$  is a direct summand of  $R$ .

(1) Use the Lemma, Proposition, and Theorem to deduce Hilbert's finiteness Theorem.

By the Lemma,  $R^G$  is a direct summand of  $R$ . Since  $R$  is Noetherian, so is  $R^G$ . By the Lemma,  $R^G$  is graded with  $(R^G)_0 = K$ . Then, by the Theorem, since  $R^G$  is Noetherian, and  $R^G$  is algebra-finite over  $(R^G)_0$ , and it remains to note that  $(R^G)_0 = K$ .

(2) Proof of Theorem:

- (a) Explain the direction ( $\Leftarrow$ ).
- (b) Show that  $R$  Noetherian implies  $R_0$  is Noetherian.
- (c) Let  $f_1, \dots, f_t$  be a homogeneous generating set for  $R_+$ , the ideal generated by positive degree elements of  $R$ . Show<sup>1</sup> by (strong) induction on  $d$  that every element of  $R_d$  is contained in  $R_0[f_1, \dots, f_t]$ .
- (d) Conclude the proof of the Theorem.

- (a) This follows from the Hilbert Basis Theorem.
- (b)  $R_0 \cong R/R_+$ .
- (c) For  $d = 0$  there is nothing to show. For  $d > 0$ , take  $h \in R_d$ . Since  $R_d \subseteq R_+$ , write  $h = \sum_i r_i f_i$  for some  $r_i \in R$ . If we replace  $r_i$  by  $r'_i$  its homogeneous component of degree  $d - \deg(f_i)$ , we claim that  $h = \sum_i r'_i f_i$ . Indeed, writing each  $r_i$  as a sum of homogeneous components and multiplying out, all of the other terms are homogeneous of some other degree, so the claim follows by uniqueness of homogeneous decomposition. So suppose  $r_i$  is homogeneous of degree  $d - \deg(f_i)$ . By induction, we have  $r_i \in R_0[f_1, \dots, f_t]$ . But then this plus  $h = \sum_i r_i f_i$  show  $h \in R_0[f_1, \dots, f_t]$ .
- (d) If  $R$  is Noetherian then  $R_+$  is finitely generated as an ideal; since  $R_+$  is homogeneous, it is generated by the (finitely many) components of these generators so has a finite homogeneous generating set, and a such generating set of  $R_+$  generates  $R$  as an algebra over  $R_0$  by the previous part.

<sup>1</sup>Hint: Start by writing  $h \in R_d$  as  $h = \sum_i r_i f_i$  with  $d = \deg(r_i) + \deg(f_i)$  for all  $i$ .

(3) Proof of Proposition:

- (a) Show that if  $R$  is a direct summand of  $S$ , and  $I$  is an ideal of  $R$ , then  $IS \cap R = I$ .  
(b) Complete the proof of the proposition.

- (a) We always have  $I \subseteq IS \cap R$ . Let  $f \in IS \cap R$ , so  $f = \sum_i a_i s_i$  with  $a_i \in I$ ,  $s_i \in S$ . Apply the map  $\pi$ . Since  $f \in R$ , we have  $\pi(f) = f$ . Since  $\pi$  is  $R$ -linear, we also have  $\pi(\sum_i a_i s_i) = \sum_i a_i \pi(s_i)$ , with  $\pi(s_i) \in R$ . But this is an element of  $I$ , so  $f \in I$ .  
(b) Let  $I_1 \subseteq I_2 \subseteq I_3 \subseteq \dots$  be a chain of ideals in  $R$ . Then  $I_1 S \subseteq I_2 S \subseteq I_3 S \subseteq \dots$  is a chain of ideals in  $S$ , which necessarily stabilizes. But the chain  $(I_1 S \cap R) \subseteq (I_2 S \cap R) \subseteq (I_3 S \cap R) \subseteq \dots$  stabilizes, but this is our original chain!

- (4) Proof of Lemma part (2): Consider  $r \mapsto \frac{1}{|G|} \sum_{g \in G} g \cdot r$ .

One checks directly that this map is  $R^G$ -linear and restricts to the identity on  $R^G$ .

- (5) Show that a direct summand of a normal ring is normal.

- (6) Let  $S_3$  denote the symmetric group on 3 letters, and let  $S_3$  act on  $R = \mathbb{C}[X_1, X_2, X_3]$  by permuting variables; i.e.,  $\sigma$  is the  $\mathbb{C}$ -algebra homomorphism given by  $\sigma \cdot X_i = X_{\sigma(i)}$ . Find a  $\mathbb{C}$ -algebra generating set for  $R^{S_3}$ . What about replacing 3 by  $n$ ?