

### §3.11: GRADED RINGS

DEFINITION:

- (1) An  **$\mathbb{N}$ -grading** on a ring  $R$  is
  - a decomposition of  $R$  as additive groups  $R = \bigoplus_{d \geq 0} R_d$
  - such that  $x \in R_d$  and  $y \in R_e$  implies  $xy \in R_{d+e}$ .
- (2) An  **$\mathbb{N}$ -graded ring** is a ring with an  $\mathbb{N}$ -grading.
- (3) We say that an element  $x \in R$  in an  $\mathbb{N}$ -graded ring  $R$  is **homogeneous of degree  $d$**  if  $x \in R_d$ .
- (4) The **homogeneous decomposition** of an element  $r \neq 0$  in an  $\mathbb{N}$ -graded ring is the sum

$$r = r_{d_1} + \cdots + r_{d_k} \quad \text{where } r_{d_i} \neq 0 \text{ homogeneous of degree } d_i \text{ and } d_1 < \cdots < d_k.$$

The element  $r_{d_i}$  is the **homogeneous component  $r$  of degree  $d_i$** .

- (5) An ideal  $I$  in an  $\mathbb{N}$ -graded ring is **homogeneous** if  $r \in I$  implies every homogeneous component of  $r$  is in  $I$ . Equivalently,  $I$  is homogeneous if it can be generated by homogeneous elements.
- (6) A homomorphism  $\phi : R \rightarrow S$  between  $\mathbb{N}$ -graded rings is **graded** if  $\phi(R_d) \subseteq S_d$  for all  $d \in \mathbb{N}$ .

DEFINITION: For an abelian semigroup  $(G, +)$ , one defines  **$G$ -grading** as above with  $G$  in place of  $\mathbb{N}$  and  $g \in G$  in place of  $d \geq 0$ . The other definitions above make sense in this context.

DEFINITION: Let  $K$  be a field, and  $R = K[X_1, \dots, X_n]$  be a polynomial ring. Let  $G$  be a group acting on  $R$  so that for every  $g \in G$ ,  $r \mapsto g \cdot r$  is a  $K$ -algebra homomorphism. The **ring of invariants** of  $G$  is

$$R^G := \{r \in R \mid \text{for all } g \in G, g \cdot r = r\}.$$

- (1) Basics with graded rings: Let  $R$  be an  $\mathbb{N}$ -graded ring.
  - (a) If  $f \in R$  is homogeneous of degree  $a$  and  $g \in R$  is homogeneous of degree  $b$ , what about  $f + g$  and  $fg$ ?
  - (b) Translate the definition of graded ring to explain why every nonzero element has a unique homogeneous decomposition.
  - (c) Does every element in  $R$  have a degree? What about “top degree” or “bottom degree”?
  - (d) What is the<sup>1</sup> degree of zero?
  - (e) Suppose that  $r \in (s_1, \dots, s_m)$ , and  $r$  is homogeneous of degree  $d$ , and  $s_i$  is homogeneous of degree  $d_i$ . Explain why we can write  $r = \sum_i a_i s_i$  with  $a_i \in R$  homogeneous of degree  $d - d_i$ .

- (a)  $f + g$  is homogeneous if and only if  $a = b$ , in which case it has degree  $a$ ;  $fg$  is homogeneous of degree  $a + b$ .
- (b) The direct sum decomposition means that every element can be expressed in a unique way as a finite sum of elements from the components.
- (c) No; only homogeneous elements have a degree. Any nonzero element has a top degree and a bottom degree.
- (d) Zero is homogeneous of every degree, since each  $R_n$  is an additive group.
- (e) We can write  $r = \sum_i b_i s_i$  for some  $b_i \in R$ . Write  $b_i = a_i + c_i$  where  $a_i$  is the homogeneous component of degree  $d - d_i$  (or zero, if there is none) and  $c_i$  is the sum of the other components. Then  $r = \sum_i a_i s_i + \sum_i c_i s_i$  where  $\sum_i a_i s_i$  has degree  $d$  and  $\sum_i c_i s_i$  lives entirely in other degrees. By comparing homogeneous components, we must have  $\sum_i a_i s_i = r$  (and  $\sum_i c_i s_i = 0$ ).

<sup>1</sup>Hint: This is a trick question, but specify exactly how.

- (2) The **standard grading** on a polynomial ring: Let  $A$  be a ring.
- (a) Let  $R = A[X]$ . Discuss: the decomposition  $R_d = A \cdot X^d$  gives an  $\mathbb{N}$ -grading on  $R$ .
- (b) Let  $R = A[X_1, \dots, X_n]$ . Discuss: the decomposition

$$R_d = \sum_{d_1 + \dots + d_n = d} A \cdot X_1^{d_1} \dots X_n^{d_n}$$

- gives an  $\mathbb{N}$ -grading on  $R$ . What is the homogeneous decomposition of  $f = X_1^3 + 2X_1X_2 - X_3^2 + 3$ ?
- (c) Let  $R = A[[X]]$ . Explain why  $R_n = A \cdot X^n$  does not give an  $\mathbb{N}$ -grading on  $R$ .

- (a) Agree.
- (b) Agree.  $f_3 = X_1^3$ ,  $f_2 = 2x_1x_2 - x_3^2$ ,  $f_0 = 3$ .
- (c) An element must be a finite sum of homogeneous elements.

- (3) **Weighted gradings** on polynomial rings: Let  $A$  be a ring,  $R = A[X_1, \dots, X_n]$  and  $a_1, \dots, a_n \in \mathbb{N}$ .
- (a) Discuss:  $R_n = \sum_{d_1 a_1 + \dots + d_n a_n = n} A \cdot X_1^{d_1} \dots X_n^{d_n}$  gives an  $\mathbb{N}$ -grading of  $R$  where the degree of  $X_i$  is  $a_i$ .
- (b) Can you find  $a_1, a_2, a_3$  such that  $X_1^2 + X_2^3 + X_3^5$  is homogeneous? Of what degree?

- (a) Yes. It is the truth.
- (b)  $a_1 = 15, a_2 = 10, a_3 = 6$  makes the element degree 30.

- (4) The **fine grading** on polynomial rings: Let  $A$  be a ring and  $R = A[X_1, \dots, X_n]$ . Discuss why

$$R_d = A \cdot X^d \quad \text{for } d = (d_1, \dots, d_n) \in \mathbb{N}^n, \quad \text{where } X^d := X_1^{d_1} \dots X_n^{d_n}$$

yields an  $\mathbb{N}^m$ -grading on  $R$ . What are the homogeneous elements?

Yes, every polynomial is a sum of monomials with coefficients in a unique way, and the exponent vectors add when we multiply. The homogeneous elements are monomials with coefficients.

- (5) More basics with graded rings. Let  $R$  be  $\mathbb{N}$ -graded.
- (a) Show<sup>2</sup> that if  $e \in R$  is idempotent, then  $e$  is homogeneous of degree zero. In particular, 1 is homogeneous of degree zero.
- (b) Show that  $R_0$  is a subring of  $R$ , and each  $R_n$  is an  $R_0$ -module.
- (c) Show that if  $I$  is homogeneous, then  $R/I$  is also  $\mathbb{N}$ -graded where  $(R/I)_n$  consists of the classes of homogeneous elements of  $R$  of degree  $n$ .
- (d) Show that  $I$  is homogeneous if and only if  $I$  is generated by homogeneous elements.
- (e) Suppose that  $\phi : R \rightarrow S$  is a homomorphism of  $K$ -algebras, and that  $R$  and  $S$  are  $\mathbb{N}$ -graded with  $K$  contained in  $R_0$  and  $S_0$ . Show that  $\phi$  is graded if  $\phi$  preserves degrees for all of the elements in some homogeneous generating set of  $R$ .

- (a) Suppose otherwise; then we can write  $e = e_0 + e_d + X$  with  $e_0$  the degree zero component (a priori possibly zero),  $e_d \neq 0$  the lowest positive degree component, and  $X$  a sum of higher degree terms. Then  $e^2 = e$  yields  $e_0^2 + 2e_0e_d + \text{higher degree terms} = e_0 + e_d + \text{higher degree terms}$ , and equating terms of the same degree,  $e_0^2 = e_0$  and  $2e_0e_d = e_d$ . Multiplying the latter by  $e_0$  and using the first gives  $2e_0e_d = e_0e_d$ , so  $e_0e_d = 0$ , so  $e_d = 0$ . This is a contradiction, so we must have  $e = e_0$  is homogeneous of degree zero.

<sup>2</sup>Hint: If not, write  $e = e_0 + e_d + X$  where  $e_0$  has degree zero and  $e_d$  is the lowest nonzero positive degree component. Apply uniqueness of homogeneous decomposition to  $e^2 = e$  and show that  $2e_0e_d = e_0e_d \dots$

- (b) From the above,  $1 \in R_0$ ; we also know that  $R_0$  is closed under  $\pm$  and  $\times$ , so it is a subring. For  $r \in R_0$  and  $s \in R_n$ ,  $rs \in R_n$ , and all the other module axioms follows from the ring axioms in  $R$ .
- (c) We need to show that  $R/I$  has a unique expression as a sum of elements in distinct  $(R/I)_n$  pieces. Let  $\bar{r} \in R/I$ , and write  $r = \sum_i r_{d_i}$  as a sum of homogeneous components. Then  $\bar{r} = \sum_i \bar{r}_{d_i}$  gives existence. For uniqueness, suppose that  $\bar{0} = \sum_i \sum_i \bar{r}_{d_i}$  with  $r_{d_i} \in R_{d_i}$  and  $d_i$  distinct. This just means that  $\sum_i r_{d_i} \in I$ , and by definition of homogeneous ideal, we must have  $r_{d_i} \in I$ , so  $\bar{r}_{d_i} = \bar{0}$ . This is the required uniqueness statement.
- (d) ( $\Rightarrow$ ) Suppose that  $I$  is homogeneous, and let  $S$  be a generating set for  $I$ . We claim that the set of homogeneous components  $S'$  of elements of  $S$  is a generating set for  $I$ . Indeed, each such component is in  $I$ , so  $(S') \subseteq I$  and since each generator is a linear combination of said components, we have  $I = (S) \subseteq (S')$ , so  $(S') = I$ . ( $\Leftarrow$ ) Suppose that  $I$  is generated by a set  $S$  of homogeneous elements. Then given  $f \in I$ , we can write  $f = \sum_i r_i s_i$  for some  $s_i \in S$  of degree  $d_i$ . Write each  $r_i$  as a sum of homogeneous elements  $r_i = \sum_j r_{i,j}$  with  $\deg(r_{i,j}) = j$ . Then  $f = \sum_i r_i s_i = \sum_i \sum_j r_{i,j} s_i$ . Then the homogeneous components of  $f$  are  $\sum_{i,j:j+d_i=t} r_{i,j} s_i$ , which lie in  $I$ .
- (e) Any homogeneous element can be written as a polynomial expression in the generators:  $r = \sum_i k_i f_1^{d_1} \cdots f_t^{d_t}$ . Each summand on the right hand side is homogeneous, so taking the homogeneous component of degree equal to that of  $r$ , we can assume that each term in the right hand side had degree equal to that of  $r$ . Then  $\phi(r) = \phi(\sum_i k_i f_1^{d_1} \cdots f_t^{d_t}) = \sum_i k_i \phi(f_1)^{d_1} \cdots \phi(f_t)^{d_t}$ . But since  $\deg(f_i) = \deg(\phi(f_i))$  the right hand side has the same degree as that on the previous formula, so  $\deg(\phi(r)) = \deg(r)$ .

- (6) Semigroup rings: Let  $S$  be a subsemigroup of  $\mathbb{N}^n$  with operation  $+$  and identity  $(0, \dots, 0)$ . The **semigroup ring** of  $S$  is

$$K[S] := \sum_{\alpha \in S} K X^\alpha \subseteq R, \quad \text{where } X^\alpha := X_1^{\alpha_1} \cdots X_n^{\alpha_n}.$$

- (a) Show that  $K[S]$  is a  $K$ -subalgebra that is a graded subring of  $R$  in the fine grading.
- (b) Let  $S = \langle 4, 7, 9 \rangle \subseteq \mathbb{N}$ . Draw a picture of  $S$ . What is  $K[S]$ ?
- (c) Find a semigroup  $S \subseteq \mathbb{N}^2$  such that  $K[S]$  is Noetherian, and another such that  $K[S]$  is not Noetherian. Draw pictures of these semigroups.
- (d) Show that every  $K$ -subalgebra that is a graded subring of  $R$  in the fine grading is of the form  $K[S]$  for some  $S$ .

- (7) Homogeneous elements: Let  $R$  be an  $\mathbb{N}$ -graded ring.

- (a) Show that  $R$  is a domain if and only if for all homogeneous elements  $x, y$ ,  $xy = 0$  implies  $x = 0$  or  $y = 0$ .
- (b) Show that the radical of a homogeneous ideal is homogeneous.

- (8) In the setting of the definition of “ring of invariants” suppose that each  $g \in G$  acts as a graded homomorphism. Show that  $R^G$  is an  $\mathbb{N}$ -graded  $K$ -subalgebra of  $R$ .