

### §3.11: GRADED RINGS

DEFINITION:

- (1) An  **$\mathbb{N}$ -grading** on a ring  $R$  is
  - a decomposition of  $R$  as additive groups  $R = \bigoplus_{d \geq 0} R_d$
  - such that  $x \in R_d$  and  $y \in R_e$  implies  $xy \in R_{d+e}$ .
- (2) An  **$\mathbb{N}$ -graded ring** is a ring with an  $\mathbb{N}$ -grading.
- (3) We say that an element  $x \in R$  in an  $\mathbb{N}$ -graded ring  $R$  is **homogeneous of degree  $d$**  if  $x \in R_d$ .
- (4) The **homogeneous decomposition** of an element  $r \neq 0$  in an  $\mathbb{N}$ -graded ring is the sum
 
$$r = r_{d_1} + \cdots + r_{d_k} \quad \text{where } r_{d_i} \neq 0 \text{ homogeneous of degree } d_i \text{ and } d_1 < \cdots < d_k.$$

The element  $r_{d_i}$  is the **homogeneous component  $r$  of degree  $d_i$** .
- (5) An ideal  $I$  in an  $\mathbb{N}$ -graded ring is **homogeneous** if  $r \in I$  implies every homogeneous component of  $r$  is in  $I$ . Equivalently,  $I$  is homogeneous if it can be generated by homogeneous elements.
- (6) A homomorphism  $\phi : R \rightarrow S$  between  $\mathbb{N}$ -graded rings is **graded** if  $\phi(R_d) \subseteq S_d$  for all  $d \in \mathbb{N}$ .

DEFINITION: For an abelian semigroup  $(G, +)$ , one defines  **$G$ -grading** as above with  $G$  in place of  $\mathbb{N}$  and  $g \in G$  in place of  $d \geq 0$ . The other definitions above make sense in this context.

DEFINITION: Let  $K$  be a field, and  $R = K[X_1, \dots, X_n]$  be a polynomial ring. Let  $G$  be a group acting on  $R$  so that for every  $g \in G$ ,  $r \mapsto g \cdot r$  is a  $K$ -algebra homomorphism. The **ring of invariants** of  $G$  is

$$R^G := \{r \in R \mid \text{for all } g \in G, g \cdot r = r\}.$$

- (1) **Basics with graded rings:** Let  $R$  be an  $\mathbb{N}$ -graded ring.
  - (a) If  $f \in R$  is homogeneous of degree  $a$  and  $g \in R$  is homogeneous of degree  $b$ , what about  $f + g$  and  $fg$ ?
  - (b) Translate the definition of graded ring to explain why every nonzero element has a unique homogeneous decomposition.
  - (c) Does every element in  $R$  have a degree? What about “top degree” or “bottom degree”?
  - (d) What is the<sup>1</sup> degree of zero?
  - (e) Suppose that  $r \in (s_1, \dots, s_m)$ , and  $r$  is homogeneous of degree  $d$ , and  $s_i$  is homogeneous of degree  $d_i$ . Explain why we can write  $r = \sum_i a_i s_i$  with  $a_i \in R$  homogeneous of degree  $d - d_i$ .
- (2) **The standard grading on a polynomial ring:** Let  $A$  be a ring.
  - (a) Let  $R = A[X]$ . Discuss: the decomposition  $R_d = A \cdot X^d$  gives an  $\mathbb{N}$ -grading on  $R$ .
  - (b) Let  $R = A[X_1, \dots, X_n]$ . Discuss: the decomposition

$$R_d = \sum_{d_1 + \cdots + d_n = d} A \cdot X_1^{d_1} \cdots X_n^{d_n}$$

gives an  $\mathbb{N}$ -grading on  $R$ . What is the homogeneous decomposition of  $f = X_1^3 + 2X_1X_2 - X_3^2 + 3$ ?

- (c) Let  $R = A[[X]]$ . Explain why  $R_n = A \cdot X^n$  does not give an  $\mathbb{N}$ -grading on  $R$ .

- (3) **Weighted gradings on polynomial rings:** Let  $A$  be a ring,  $R = A[X_1, \dots, X_n]$  and  $a_1, \dots, a_n \in \mathbb{N}$ .
  - (a) Discuss:  $R_n = \sum_{d_1 a_1 + \cdots + d_n a_n = n} A \cdot X_1^{d_1} \cdots X_n^{d_n}$  gives an  $\mathbb{N}$ -grading of  $R$  where the degree of  $X_i$  is  $a_i$ .
  - (b) Can you find  $a_1, a_2, a_3$  such that  $X_1^2 + X_2^3 + X_3^5$  is homogeneous? Of what degree?

<sup>1</sup>Hint: This is a trick question, but specify exactly how.

(4) The **fine grading** on polynomial rings: Let  $A$  be a ring and  $R = A[X_1, \dots, X_n]$ . Discuss why

$$R_d = A \cdot X^d \quad \text{for } d = (d_1, \dots, d_m) \in \mathbb{N}^n, \quad \text{where } X^d := X_1^{d_1} \cdots X_m^{d_m}$$

yields an  $\mathbb{N}^n$ -grading on  $R$ . What are the homogeneous elements?

(5) More basics with graded rings. Let  $R$  be  $\mathbb{N}$ -graded.

- Show<sup>2</sup> that if  $e \in R$  is idempotent, then  $e$  is homogeneous of degree zero. In particular,  $1$  is homogeneous of degree zero.
- Show that  $R_0$  is a subring of  $R$ , and each  $R_n$  is an  $R_0$ -module.
- Show that if  $I$  is homogeneous, then  $R/I$  is also  $\mathbb{N}$ -graded where  $(R/I)_n$  consists of the classes of homogeneous elements of  $R$  of degree  $n$ .
- Show that  $I$  is homogeneous if and only if  $I$  is generated by homogeneous elements.
- Suppose that  $\phi : R \rightarrow S$  is a homomorphism of  $K$ -algebras, and that  $R$  and  $S$  are  $\mathbb{N}$ -graded with  $K$  contained in  $R_0$  and  $S_0$ . Show that  $\phi$  is graded if  $\phi$  preserves degrees for all of the elements in some homogeneous generating set of  $R$ .

(6) Semigroup rings: Let  $S$  be a subsemigroup of  $\mathbb{N}^n$  with operation  $+$  and identity  $(0, \dots, 0)$ . The **semigroup ring** of  $S$  is

$$K[S] := \sum_{\alpha \in S} KX^\alpha \subseteq R, \quad \text{where } X^\alpha := X_1^{\alpha_1} \cdots X_n^{\alpha_n}.$$

- Show that  $K[S]$  is a  $K$ -subalgebra that is a graded subring of  $R$  in the fine grading.
- Let  $S = \langle 4, 7, 9 \rangle \subseteq \mathbb{N}$ . Draw a picture of  $S$ . What is  $K[S]$ ?
- Find a semigroup  $S \subseteq \mathbb{N}^2$  such that  $K[S]$  is Noetherian, and another such that  $K[S]$  is not Noetherian. Draw pictures of these semigroups.
- Show that every  $K$ -subalgebra that is a graded subring of  $R$  in the fine grading is of the form  $K[S]$  for some  $S$ .

(7) Homogeneous elements: Let  $R$  be an  $\mathbb{N}$ -graded ring.

- Show that  $R$  is a domain if and only if for all homogeneous elements  $x, y$ ,  $xy = 0$  implies  $x = 0$  or  $y = 0$ .
- Show that the radical of a homogeneous ideal is homogeneous.

(8) In the setting of the definition of “ring of invariants” suppose that each  $g \in G$  acts as a graded homomorphism. Show that  $R^G$  is an  $\mathbb{N}$ -graded  $K$ -subalgebra of  $R$ .

<sup>2</sup>Hint: If not, write  $e = e_0 + e_d + X$  where  $e_0$  has degree zero and  $e_d$  is the lowest nonzero positive degree component. Apply uniqueness of homogeneous decomposition to  $e^2 = e$  and show that  $2e_0e_d = e_0e_d \dots$