DEFINITION: Let  $\phi: R \to S$  be a ring homomorphism.

- We say that  $\phi$  is **algebra-finite**, or S is **algebra-finite** over R, if S is a finitely generated R-algebra.
- We say that  $\phi$  is **module-finite**, or S is **module-finite** over R, if S is a finitely generated R-module.

One also often encounters the less self-explanatory terms **finite type** for algebra-finite, and **finite** for module-finite, but we will avoid these.

LEMMA: A module-finite map is algebra-finite. The converse is false.

DEFINITION: Let R be an A-algebra. We say that an element  $r \in R$  is **integral** over A if r satisfies a monic polynomial with coefficients in A.

PROPOSITION: Let R be an A-algebra. If  $r_1, \ldots, r_n \in R$  are integral over A, then  $A[r_1, \ldots, r_n]$  is module-finite over A.

- (1) Algebra-finite vs module-finite: Let  $\phi: A \to R$  be a ring homomorphism and  $r_1, \ldots, r_n \in R$ .
  - (a) Agree or disagree: an A-linear combination of  $r_1, \ldots, r_n$  is a special type of polynomial expression of  $r_1, \ldots, r_n$  with coefficients in A.
  - **(b)** Explain why  $R = \sum_{i=1}^{n} Ar_i$  implies  $R = A[r_1, \dots, r_n]$ . Explain why module-finite implies algebra-finite.
  - (c) Let R = A[X] be a polynomial ring in one variable over A. Is the inclusion map  $A \subseteq A[X]$  algebra-finite? Module-finite?
  - **(d)** Give an example of a map that is module-finite (and hence also algebra-finite).
  - (e) Give an example of a map that is not algebra-finite (and hence also not module-finite).
- (2) Integral elements: Use the definition of integral to determine whether each is integral or not.
  - (a) An indeterminate X in a polynomial ring A[X], over A.
  - **(b)**  $\sqrt[3]{2}$ , over  $\mathbb{Z}$ .
  - (c)  $\frac{1}{2}$ , over  $\mathbb{Z}$ .
- **(3)** Proof of Proposition: Let A be a ring.
  - (a) Let  $f \in A[X]$  be monic, and let T = A[X]/(f). Explain why T is module-finite over A. What is a generating set?
  - **(b)** Let R = A[r] be an algebra generated by one element  $r \in R$ . Suppose that r satisfies a monic polynomial  $f \in A[X]$ . How is R related to the ring T as in part (a)? Must they be equal?
  - (c) Show that R as in (b) is module-finite over A. What is a generating set?
  - **(d)** Let  $S = A[r_1, \dots, r_t]$  with  $r_1, \dots, r_t \in S$  integral over A. Use (c) and (4b) below to show that  $A \to S$  is module-finite.
- (4) Finiteness conditions and compositions: Let  $R \subseteq S \subseteq T$  be rings.
  - (a) If  $R \subseteq S$  and  $S \subseteq T$  are algebra-finite, show that the composition  $R \subseteq T$  is algebra-finite.
  - (b) If  $R \subseteq S$  and  $S \subseteq T$  are module-finite, show<sup>2</sup> that the composition  $R \subseteq T$  is module-finite.

<sup>&</sup>lt;sup>1</sup>Hint: If  $S = R[s_1, \ldots, s_m]$  and  $T = S[t_1, \ldots, t_n]$ , apply the definition of "algebra generated by" to  $R[s_1, \ldots, s_m, t_1, \ldots, t_n] \subseteq T$ . Why must the LHS contain S? After that, why must it contain T?

<sup>&</sup>lt;sup>2</sup>Hint: If  $S = \sum_i Rs_i$  and  $T = \sum_j St_j$ , use the "linear combinations" characterization of module generators to show  $T = \sum_{i,j} Rs_i t_j$ .

- (5) Power series rings:
  - (a) Let  $A \to R$  be algebra-finite. Show that R is a countably-generated A-module.
  - (b) Let A be a ring and R = A[X] be a power series ring over A. Show<sup>3</sup> that R is not a countably generated A-module. Deduce that R is not algebra-finite over A.
- (6) Let  $R \subseteq S \subseteq T$  be rings.
  - (a) If  $R \subseteq T$  is algebra-finite, must  $S \subseteq T$  be? What about  $R \subseteq S$ ?
  - (b) If  $R \subseteq T$  is module-finite, must  $S \subseteq T$  be? What<sup>4</sup> about  $R \subseteq S$ ?
- (7) Let R be a ring, and M be an R-module. The **Nagata idealization** of M in R, denoted  $R \ltimes M$ , is the ring that
  - ullet as a set and an additive group is just  $R \times M = \{(r,m) \mid r \in R, m \in M\}$ , and
  - has multiplication (r, m)(s, n) = (rs, rn + sm).

Convince yourself that  $R \ltimes M$  is an R-algebra. Show that  $R \subseteq R \ltimes M$  is module-finite if and only if M is a finitely generated R-module.

<sup>&</sup>lt;sup>3</sup>Hint: Write  $[g]_{\leq j}$  for the sum of terms in g of degree at most j. Suppose  $R = \sum_{i=1}^{\infty} Af_i$ , and construct  $g \in R$  such that  $[g]_{\leq n^2} \notin \sum_{i=1}^n A[f_i]_{\leq n^2}$ .

<sup>&</sup>lt;sup>4</sup>Hint: Use a problem below.